

**18<sup>th</sup> ISMAR MEETING**  
**14<sup>th</sup> NMR USERS MEETING**  
**5<sup>th</sup> IBEROAMERICAN NMR MEETING**  
**BIOMEDICAL IMAGING SYMPOSIUM**

**MAY 19-24, 2013 – RIO DE JANEIRO, RJ, BRAZIL**  
**ROYAL TULIP RIO DE JANEIRO HOTEL**

# ISMAR & JHF

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# ISMAR MEETINGS

- 1st Conference, 1962 (~~1965~~)- Tokyo, Japan
- 2nd Conference, 1965 (~~1968~~)- Sao Paulo, Brazil
- 3rd Conference, 1968 (~~1969~~)- Melbourne, Australia
- \* 4th Conference, 1971- Rehovot/Jerusalem, Israel
- ^ 5th Conference, 1974 - Bombay, India
- \* 6th Conference, 1977 - Banff, Alberta, Canada
- \* 7th Conference, 1980 - Delft, The Netherlands
- \* 8th Conference, 1983 - Chicago, Illinois USA
- 9th Conference, 1986 - Rio de Janeiro, Brazil
- \* 10th Conference, 1989 - Morzine, France
- \* 11th Conference, 1992 - Vancouver, Canada
- \* 12th Conference, 1995 - Sydney Australia
- \* 13th Conference, 1998 - Berlin, Germany
- \* 14th Conference, 2001 - Greek island of Rhodes
- \* 15th Conference, 2004 - Jacksonville, Florida USA
- \* 16th Conference, 2007 - Kenting, Taiwan
- \* 17th Conference, 2010 - Florence, Italy
- \* 18th Conference, 2013 - Rio de Janeiro, Brazil

\* Meetings attended by JHF    ^ TBD

1962: ISMAR: Tokyo, JAPAN

JHF: Receives Ph.D., Columbia University with G. K. Fraenkel

# Ph.D. Thesis: A Study of Hyperfine Linewidths in ESR Spectra

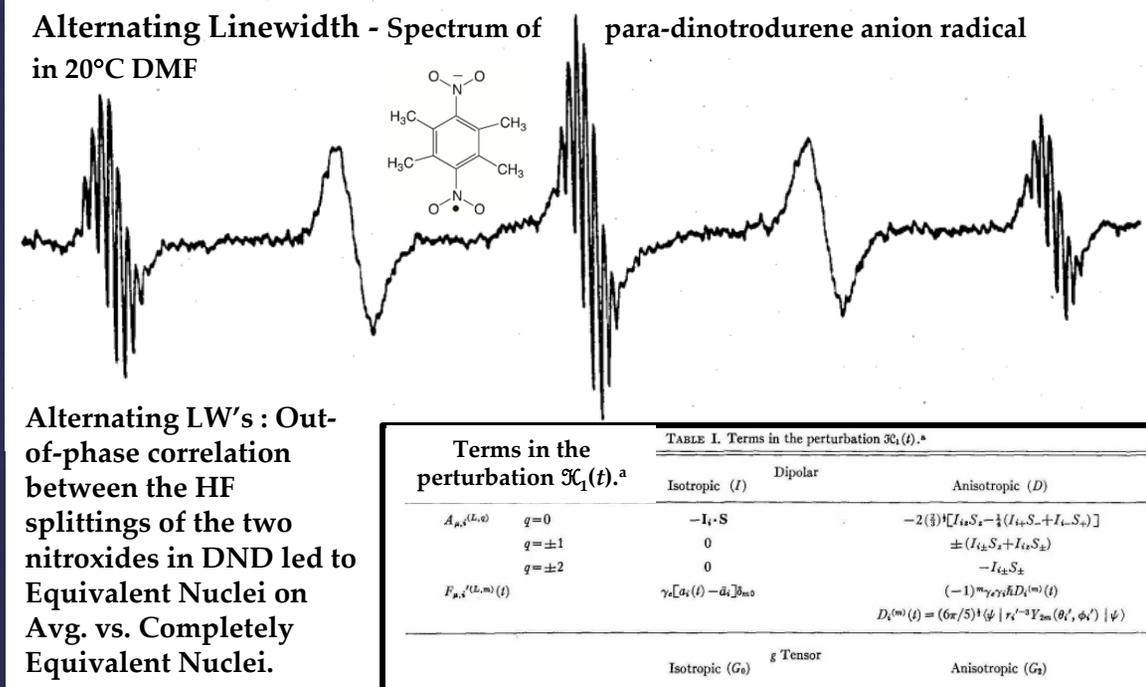
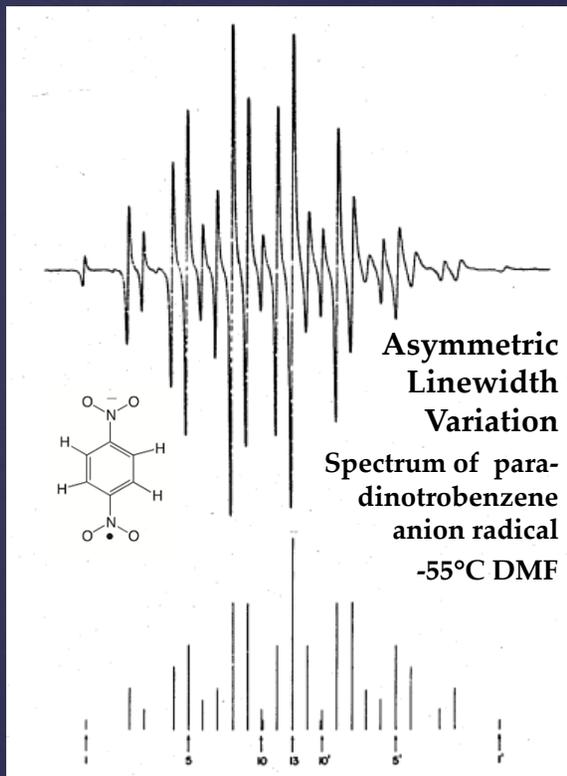


TABLE I. Terms in the perturbation  $\mathcal{H}_1(t)$ .<sup>a</sup>

		Isotropic ( <i>I</i> )	Dipolar	Anisotropic ( <i>D</i> )
$A_{\mu,\nu}(L,q)$	$q=0$	$-I_1 \cdot S$		$-2(\frac{3}{2})^2 [I_{1z}S_z - \frac{1}{2}(I_{1x}S_x + I_{1y}S_y)]$
	$q=\pm 1$	0		$\pm (I_{1x}S_x + I_{1y}S_y)$
	$q=\pm 2$	0		$-I_{1z}S_z$
$F_{\mu,\nu}(L,m)(t)$		$\gamma_a [a_i(t) - \bar{a}_i] \beta_{m0}$		$(-1)^m \gamma_{\nu} \gamma_{\nu'} \hbar D_i^{(m)}(t)$
				$D_i^{(m)}(t) = (6\pi/5)^{1/2} \langle \psi   r_i^{-3} Y_{2m}(\theta_i', \phi_i')   \psi \rangle$
		<i>g</i> Tensor		
		Isotropic ( <i>G</i> )	Anisotropic ( <i>G</i> )	
$A_{\mu,\nu}(L,q)$	$q=0$	$-B_0 S_z$	$-2(\frac{3}{2})^2 B_0 S_z$	
	$q=\pm 1$	0	$\pm B_0 S_x$	
	$q=\pm 2$	0	0	
$F_{\mu,\nu}(L,m)(t)$		$-\beta_s \hbar^{-1} [g_s(t) - \bar{g}_s] \beta_{m0}$	$-\frac{1}{2} (-1)^m \beta_{\nu} \hbar^{-1} g^{(m)}(t)$	
			$g^{(0)}(t) = 6^{-1/2} [2g_x(t) - [g_y(t) + g_z(t)]]$	
			$g^{(\pm 1)}(t) = 0$	
			$g^{(\pm 2)}(t) = \frac{1}{2} [g_x(t) - g_z(t)]$	
		Quadrupolar ( <i>Q</i> ) <sup>b</sup>		
		Anisotropic		
$A_{\mu,\nu}(L,q)$	$q=0$	$-(\frac{3}{2})^2 [3I_{1z}^2 - I_1(I_1+1)]$		
	$q=\pm 1$	$\pm (I_{1x}I_{1x} + I_{1y}I_{1y})$		
	$q=\pm 2$	$-I_{1z}^2$		
$F_{\mu,\nu}(L,m)(t)$		$(-1)^m \langle \psi   \rho Q_{\nu} \hbar^{-1} [4I_{1z}(2I_{1z}-1)]^{-1}   \nabla \mathcal{E}(t) \rangle^{(m)}$		
		$[\nabla \mathcal{E}(t)]_{\nu}^{(0)} = -(\frac{3}{2})^2 \langle \psi   V_{\nu\nu'}(t)   \psi \rangle$		
		$[\nabla \mathcal{E}(t)]_{\nu}^{(\pm 1)} = \pm \langle \psi   V_{\nu\nu'}(t) \pm i V_{\nu\nu''}(t)   \psi \rangle$		
		$[\nabla \mathcal{E}(t)]_{\nu}^{(\pm 2)} = -\frac{1}{2} \langle \psi   V_{\nu\nu'}(t) - V_{\nu\nu''}(t) \pm 2i V_{\nu\nu'''}(t)   \psi \rangle$		

Necessitated New Paradigm for HF Linewidths in Organic Radicals: Freed – Fraenkel Theory: Used Redfield Relaxation Matrix Based on WBR (Wangsness-Bloch-Redfield Theory) includes Degenerate HF Transitions. (JCP, 39, 326-48, 1963)

<sup>a</sup> The expressions for the  $A_{\mu,\nu}(L,q)$  are given in space-fixed axes while those for the  $F_{\mu,\nu}(L,m)(t)$  are in the molecule-fixed axes coinciding with the principle values of the *g* tensor.  
<sup>b</sup> Quadrupole moments are defined in the conventional manner. See, for example, Refs. 4, 21, or 39.

1965: ISMAR: San Paulo, Brazil  
 JHF: Assistant Professor, Cornell University

# Theory of ESR Saturation and Double Resonance in Organic Free Radicals in Solution

## ENDOR in Solution

Hyde & Maki, JCP 40, 3117 (1964)

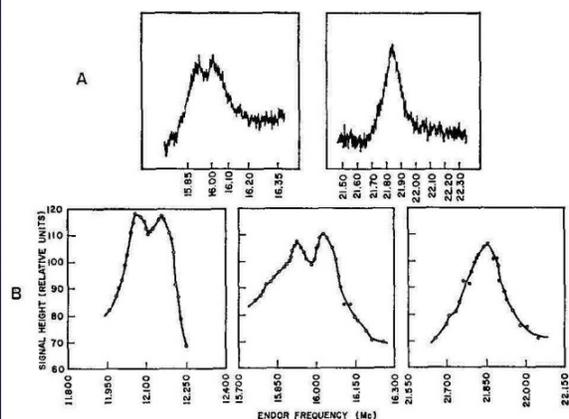
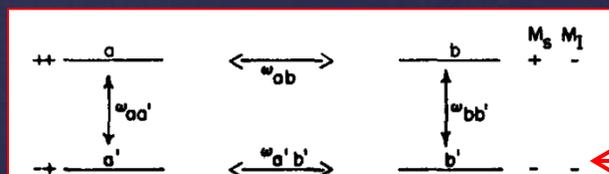


FIG. 1. Observed ENDOR Signals in Coppinger's radical.



## Limiting Case of ENDOR from Theory

Freed, JCP 43, 2312 (1965)

TABLE II. Values of the enhancement factor  $E$  for several sets of values of transition probabilities when the  $\omega_{aa'}$  and  $\omega_{a'b'}$  transitions are excited.<sup>a</sup>

	$\frac{a}{W_{x1} \sim W_{x2} = W_x}$	$\frac{b}{W_x = W_{x1} \gg W_{x2}}$	$\frac{c}{W_x = W_{x2} \gg W_{x1}}$
(1) $W_e \sim W_n \sim W_x$	4/3	25/24	25/16
(2) $W_e \gg W_n \sim W_x$	1	1	1
(3) $W_n \gg W_e \sim W_x$	1	1	1
(4) $W_x \gg W_e \sim W_n$	$W_x / 2(W_e + W_n)$	1	$W_x / (W_e + W_n)$
(5) $W_n \sim W_x \gg W_e$	3/2	1	2
(6) $W_e \gg W_x \gg W_n$	1	1	1
(7) $W_e \sim W_n \gg W_x$	9/8	9/8	9/8

<sup>a</sup> See Fig. 2.

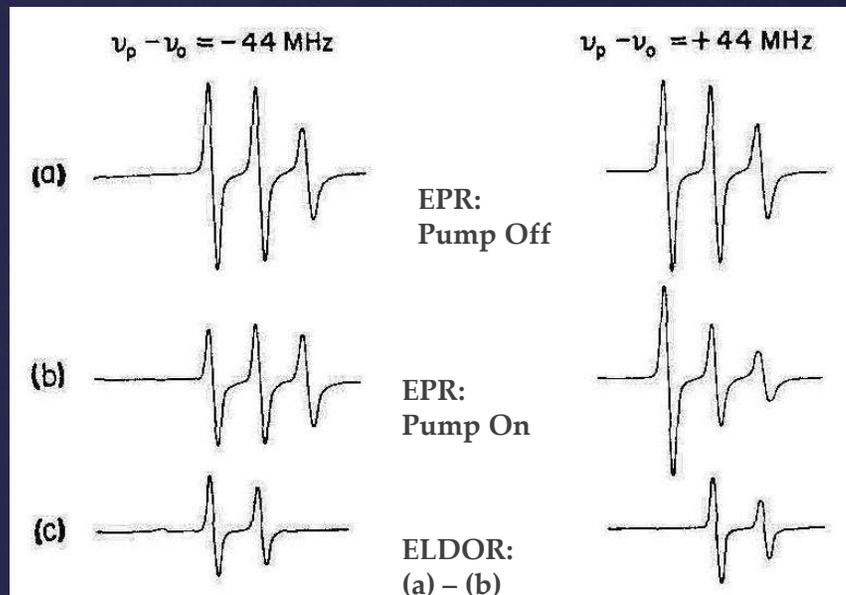
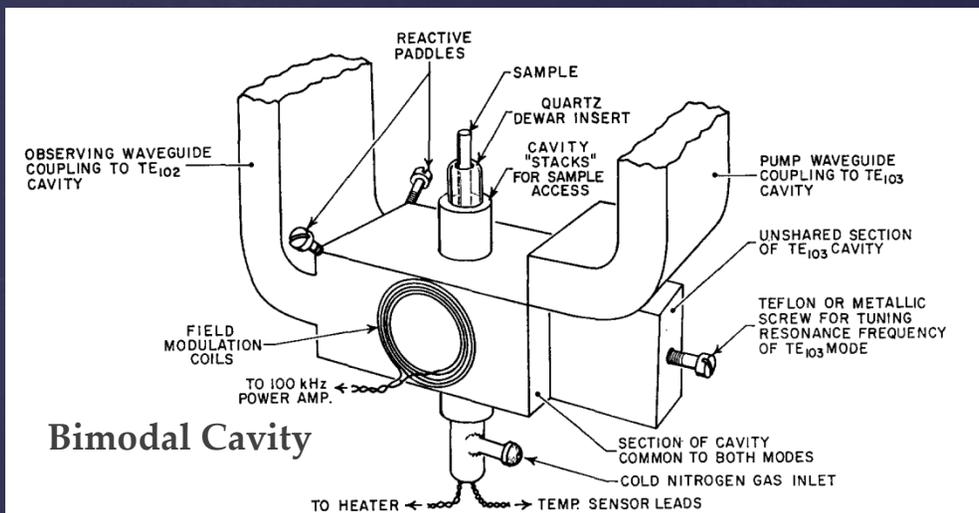
More General Application Of Redfield (WBR) Theory Leads To Coupled Solution In Matrix Form For The Many ESR Transitions In The Spectrum With Some Undergoing Saturation: Saturation Parameters  $\Omega_e, n$

1968 ISMAR: Melbourne, Australia  
 JHF: Associate Professor, Cornell University

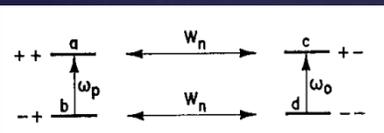
# Electron-Electron Double Resonance (ELDOR)

with J. S. Hyde, J.C.W. Chien, JCP 48, 4211 (1968)

\* Pump One Hyperfine Line and Observe Effect on Another HF Line



Nitroxide radical in ethybenzene, -80°C



Reduction factor,  $R$ , for strongly saturated observing transition.  $b = W_n / W_e$

Line pumped	Line observed		
	+1	0	-1
+1	...	$b/(1+3b)$	$b^2/(1+3b+2b^2)$
0	$(b+2b^2)/(1+4b+3b^2)$	...	$(b+2b^2)/(1+4b+3b^2)$
-1	$b^2/(1+3b+2b^2)$	$b/(1+3b)$	...

General Saturation & Double Res. Theory:  
 ELDOR Reductions Depend on  $\Omega_{o,p} \times \Omega_{p,o}$  the Cross-Saturation Parameters Between Observing and Pumped Transitions

## Generalized Cumulant Expansions (GCE) and Spin-Relation Theory (JCP 49 376 (1968))

1. How to deal with breakdown of WBR Theory Based on GCE method of Kubo.
2. Leads to Relaxation Matrix to all orders:

$$\mathbf{R} = \sum_{n=1}^{\infty} \mathbf{R}^{(n)}$$

for  $t \gg \tau_c$  with  $\mathbf{R}^{(n)}$   
of order  $\langle |H_1^\dagger(t)|^n \rangle \tau_c^{n-1}$

3. This is a Complex Expansion in powers of

$$|H_1^\dagger(t)| \tau_c$$

4. Also shows how to introduce "finite time" corrections when  $\tau_c \gtrsim t$ .

1971 ISMAR: Jerusalem, Israel  
 JHF: Attends (part of) ISMAR

# The Stochastic Liouville Equation (SLE) and Slow Motional ESR (with G. Bruno and C.F. Polnaszek, JPC 75, 3385 (1971))

$$\frac{\partial \rho}{\partial t} = -i [\mathcal{H}(t), \rho]$$

$\rho$ : Spin Density Matrix  
 $\mathcal{H}(t)$ : Random Hamiltonian

$$\frac{\partial}{\partial t} P(\Omega, t) = -\Gamma_{\Omega} P(\Omega, t)$$

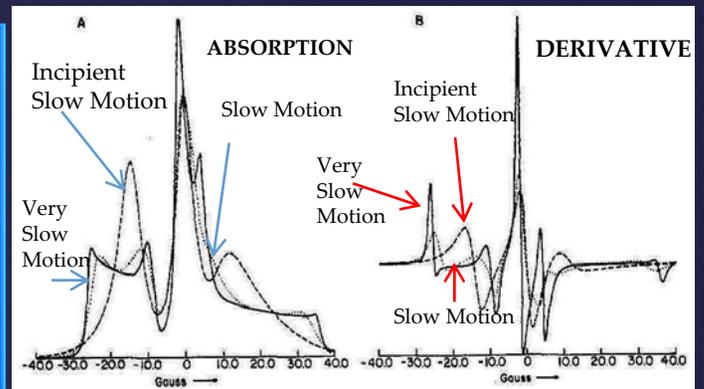
$P(\Omega, t)$ : Probability of finding  $\Omega$  at  $t$ .  
 $\Gamma_{\Omega}$  time independent Markoff Operator.

Leads to SLE:

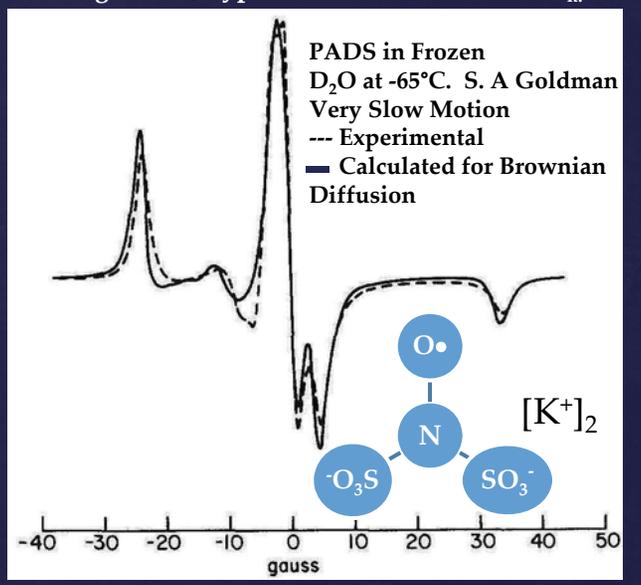
$$\frac{\partial}{\partial t} \rho(\Omega, t) = -i [\mathcal{H}(\Omega), \rho(\Omega, t)] - \Gamma_{\Omega} \rho(\Omega, t)$$

$\rho(\Omega, t)$ : Joint Spin Density Matrix  
 As Well As Classical Probability Density in  $\Omega$ .

- Kubo (1969) showed this with heuristic argument.
- Freed (1972) showed this with generalized moment expansion.
- Hwang & Freed (1975) developed this by passing to semi-classical limit from quantum stat. mech. Leads to a "spin-force" and/or "spin-torque" back-reaction of spins on bath. Confirms high T limit.
- Wassam & Freed (1982) developed this from even more general many-body quantum stat. mech.



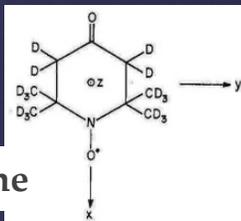
Line Shapes for  $S=1/2, I=1$  ( $^{14}\text{N}$  nucleus) with axially symmetric  $g$  tensor, hyperfine tensor, and small  $\omega_n$ .



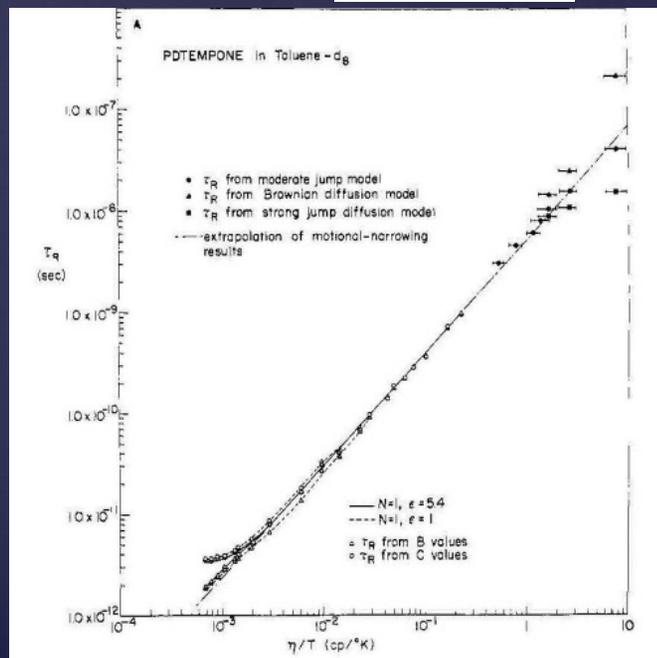
1974 : ISMAR: Bombay, India

JHF: Did not receive letter from India with invitation to deliver plenary lecture.

# Studies of Electron Spin Relaxation of Nitroxide Probes in Solution: Fast & Slow Motions and Search for a Model, (with J. Hwang, R. Mason and L.-P. Hwang, JPC 79, 489 (1975))

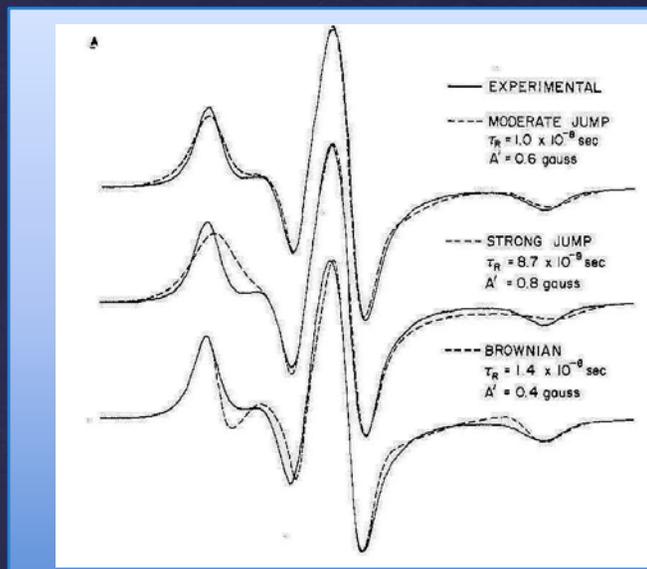


PD-Tempone

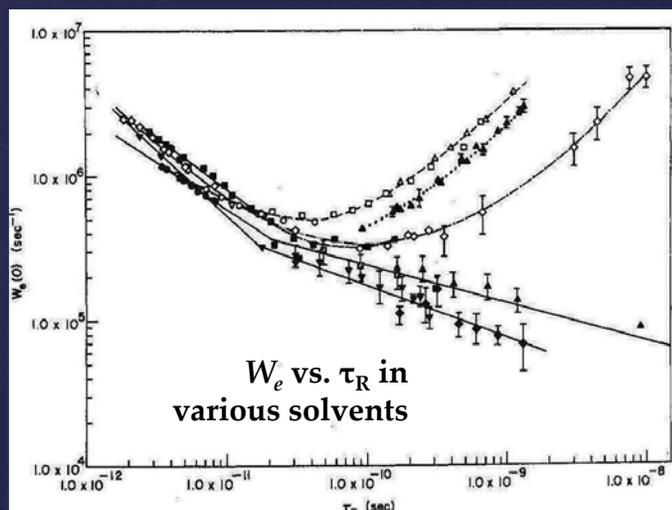


$\tau_R$  vs.  $\eta/T$  over five orders of magnitude

Non-secular spectral densities:  
 $j(\omega) \approx \tau_R / [1 + \epsilon \omega^2 \tau_R^2]^{-1}$ ,  $\epsilon > 1$



Comparison of experiment and simulated spectra in the model-dependent slow-tumbling region for PD-Tempone in toluene- $d_8$



$W_e$  vs.  $\tau_R$  in various solvents

Brownian vs. Jump Diffusion: Slow Motional Fits.

Fluctuating Torques (Fast Bath Modes) vs. Slowly Relaxing Structures (Slow Bath Modes)

1977: ISMAR: Banff, Canada  
 JHF: Lectures

# ESR and Spin Relaxation in Liquid Crystals (with C.F. Polnaszek, JPC 79 2282, (1975))

Liquid Crystals Yield an Anisotropic Environment:

$$P_o(\Omega) = \exp(-U(\Omega)/kT) / \int d\Omega \exp(-U(\Omega)/kT)$$

$U(\Omega)$  : Anisotropic Potential

A challenge to diagonalization: Leads to non-symmetric matrices. Render symmetric by similarity transformation:

$$\tilde{P}(\Omega, t) \equiv P_o(\Omega)^{-1/2} P(\Omega, t)$$

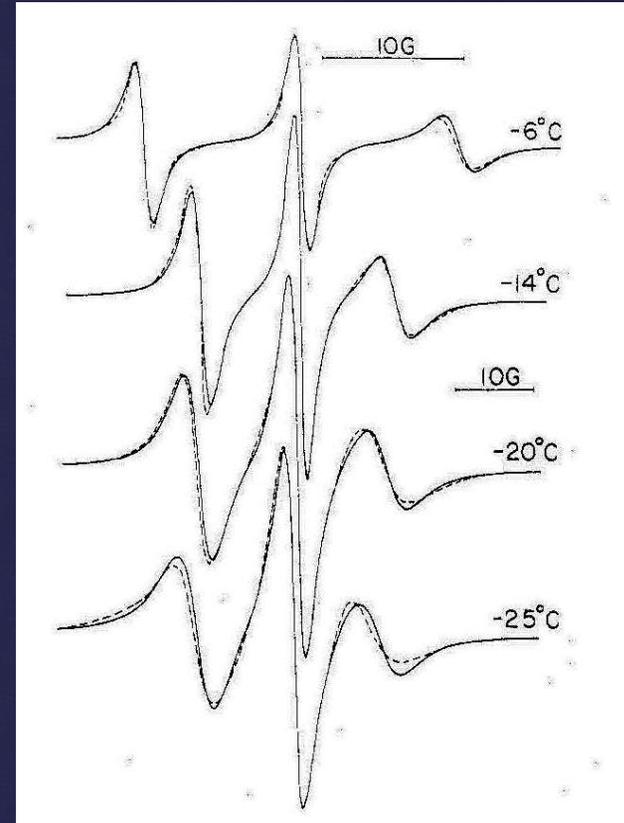
Symmetrized Diffusion Operator:

$$\tilde{\Gamma} = M \cdot R \cdot M + \frac{M \cdot R \cdot M U}{2kT} + \frac{T \cdot R \cdot T}{(2kT)^2}$$

M: Vector Operator which generates an infinitesimal Rotation.

T  $\equiv$  iMU( $\Omega$ ) is the external torque derived from the potential U( $\Omega$ ).

Yielding:  $\partial \tilde{P} / \partial t = -\tilde{\Gamma}_{\Omega} \tilde{P}(\Omega, t)$

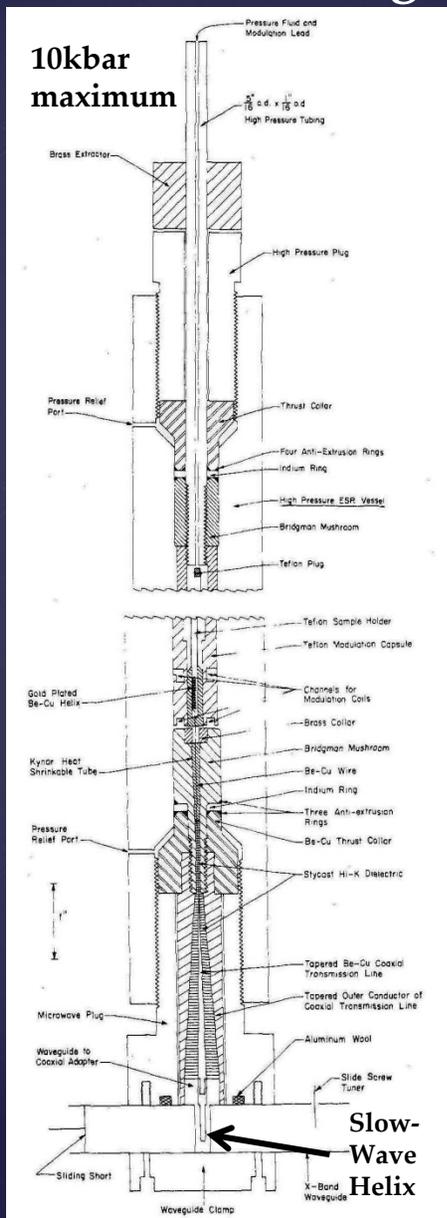


Comparison of experimental (-----) and theoretical (—) spectra for PD-Tempone in Phase V stresses the need for SRLS model.

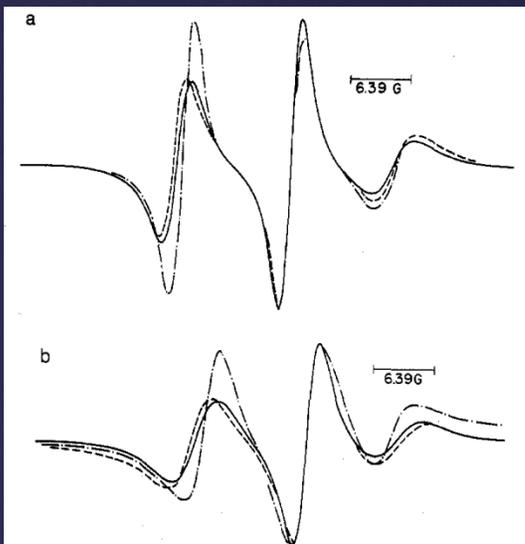
1977 Cont.

# High Pressure (J.S. Hwang and K.V.S. Rao, JPC 80, 1490 (1976))

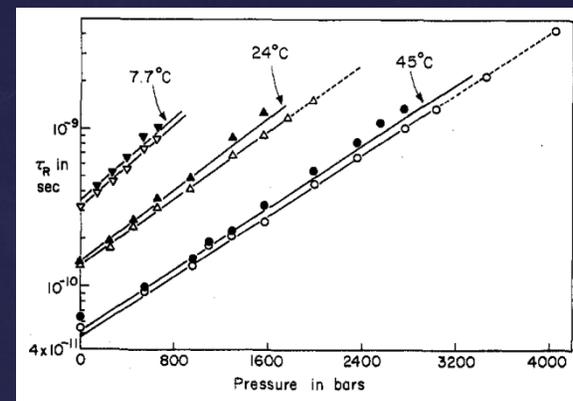
More evidence for SRLS from High Pressure Experiments



ESR High Pressure Vessel (Hydraulic)



Comparison of experimental and simulated spectra at 45°C for PD-Tempone in Phase V (a) 3450 bars (b) 4031 bars ( - - - ) experimental results; ( · - · - · ) and ( — ) theoretical results for different models.



Graph of  $\tau_R$  vs. pressure for PD Tempone in phase V.

## General Theoretical Analysis Led to Expressions for SRLS Spectral Density (JCP, 66, 483 (1977):

$$J_{KM}(\omega) = \frac{\kappa(K, M)\tau_R}{1 + \omega^2\tau_R^2} + \frac{1}{5} [5\kappa(0, M)]^2 \delta_{K,0} \langle |S_i|^2 \rangle \times \left[ \frac{\tau_x}{1 + \omega^2\tau_x^2} - \frac{\tau'_R}{1 + \omega^2\tau_R'^2} \right]$$

Where  $\tau_R'^{-1} = \tau_R^{-1} + \tau_x^{-1}$  and  $\kappa=1/5$  for isotropic medium. Later referred to as "Model Free" expression.

1980 ISMAR : Delft, Netherlands

JHF: In attendance, but barred from presenting.

## Efficient Computation of ESR Spectra and Related Fokker-Planck Forms by the Use of the Lanczos Algorithm (LA)

Spectrum from SLE:

$$I(\omega) = \frac{1}{\pi} \text{Re} \{ \langle \nu | [i(\omega 1 - \mathcal{L}) + \Gamma]^{-1} | \nu \rangle \}$$

$\mathcal{L}$  - Liouville operator associated with spin Hamiltonian

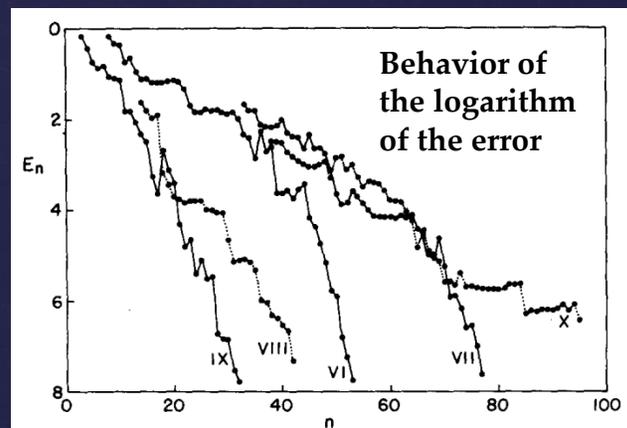
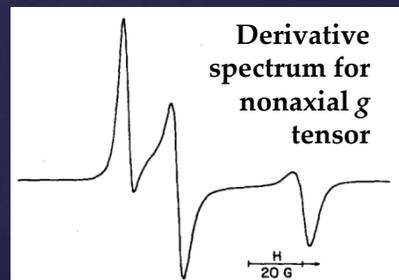
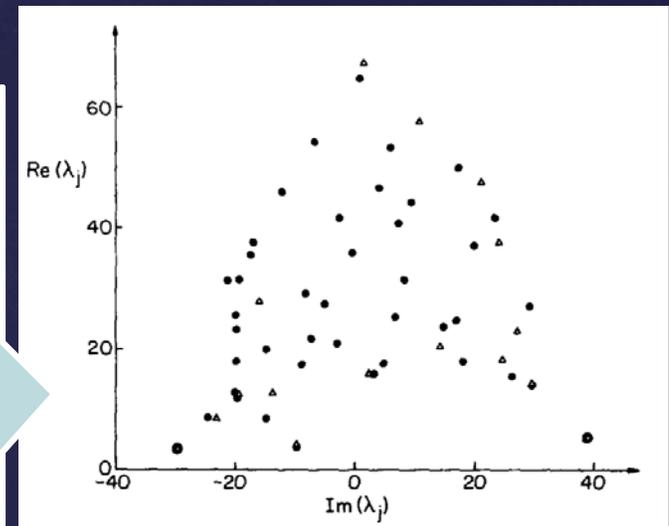
$\Gamma$  - Symmetrical diffusion operator

$| \nu \rangle$  - Vector of allowed spectral components

The Lanczos algorithm : Let  $A \equiv \Gamma + i\mathcal{L}$   
By operating with  $A$   $n$  times on  $| \nu \rangle$  and simple rearranging, an  $n$ -dimensional orthonormal sub-set of the  $N \gg n$  total basis set is obtained such that  $A_n$  is tri-diagonal with  $A_n = P_n A P_n^{-1}$  where  $P_n$  projects out the "Relevant Sub-Space."

This was the first significant application of the LA to Complex Symmetric (non-Hermitian) Matrices.  
Leads to Order(s) of Magnitude Reduction in Computer Space & Time.

Distribution of the eigenvalues for calculation. Units are in G; x & y axis represent real & imaginary parts of the eigenvalues.  $\Delta$  from Lanczos algorithm;  $\bullet$  exact.



Lanczos Steps rapidly converge to solution

1980 cont.

- The computational algorithm is formally equivalent to the Mori Method in Statistical Mechanics: i.e. in projecting out the relevant sub-space:
- But in the Mori method, general arguments are given for the many-body problem, while we used the SLE approximation to the many-body problem but with a well-defined computational methodology.

### General Conclusion:

The Quantum World with its Microscopic Reversibility is Represented by Unitary Space.

But the Real World with its Irreversibility is Properly Represented by (complex) – Orthogonal Space.

### Suppose one starts with an arbitrary matrix representation with both coherence and damping: M

#### THEOREM:

Equivalent to a complex symmetric matrix through a similarity transformation:

$A = SMS^{-1}$  i.e. where A is complex symmetric and  $S \equiv UH$  where H is Hermitian and U is Unitary.

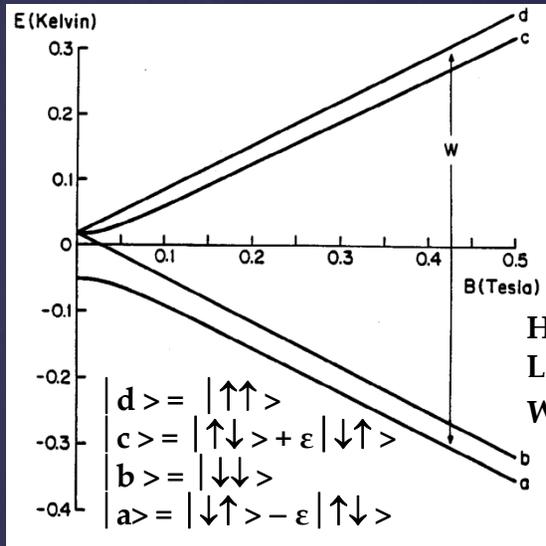
This we accomplish with a diagonal Hermitian Matrix:  $H \rightarrow P_o^{1/2}(\Omega)$

and  $U \rightarrow$  Transforms the basis set to be time reversal invariant. Then both  $\mathcal{L}$  and  $\Gamma$  are represented by real symmetric matrices. (cf. D. Schneider and J.H.F. 1989).

This methodology permitted calculating ESR spectra for smectic liquid crystals where the normal to the smectic planes is tilted relative to the magnetic field destroying the cylindrical symmetry.

# Nuclear Spin Waves in (Doubly) Spin-Polarized Atomic Hydrogen Gas (with B.R. Johnson, J.S. Denker, N. Bigelow, L.P. Levy, D.M. Lee, PRL 52, 1508, 1984)

Spin-polarized  $H\downarrow$  is a gas of atomic hydrogen with the electron spins aligned by cooling the H atoms to  $T < 0.5K$  in a magnetic field  $H = 8 - 10$  Tesla. Thus pairwise collisions of pure  $H\downarrow$  atoms cannot recombine due to the Pauli Exclusion Principle ( $S = 1$ ).



Yet it is nearly an ideal gas:  $PV = nRT$  ! as well as a gas of Bosons.

But nuclear spin polarization requires  $T < 30$  mK at 10 Tesla. Thus both ground state  $|a\rangle$  and next state  $|b\rangle$  are initially populated.

But  $|a\rangle$  is not a pure state:

$$|a\rangle = |\downarrow\uparrow\rangle - \epsilon |\uparrow\downarrow\rangle \quad \epsilon = a/2\gamma_e B_0$$

$$\text{At } 10 \text{ Tesla } \epsilon \approx 2.5 \times 10^{-3}$$

This provides a recombination pathway.

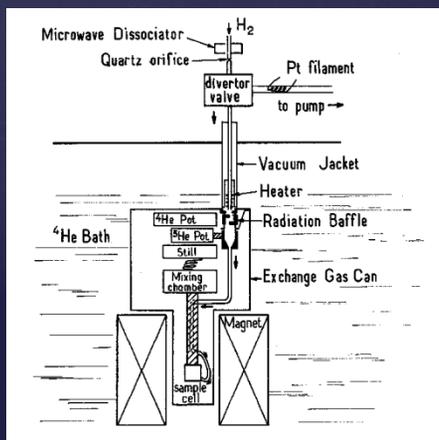
In time, only the  $|b\rangle = |\downarrow\downarrow\rangle$  state remains a pure state of doubly spin-polarized  $H\downarrow\downarrow$

For  $10^{16} - 10^{17}$  atoms/cm<sup>3</sup>

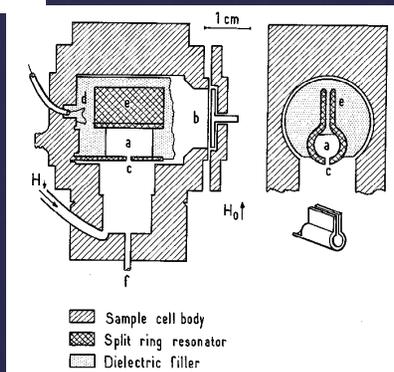
- Nuclear  $T_1 \sim$  hours
- Recombination times for  $|a\rangle \sim 2 - 10$  min.

Lengths:

- S-wave scattering length between  $2H\downarrow$  atoms:  $a_s \approx 0.72 \text{ \AA}$
- thermal de Broglie wavelength  $\lambda_T \approx 20-50 \text{ \AA}$
- spin-wave wavelength  $\lambda \sim 0.1 - 1.0$  cm
- sample size  $L = 0.6 \times 1.0$  cm.

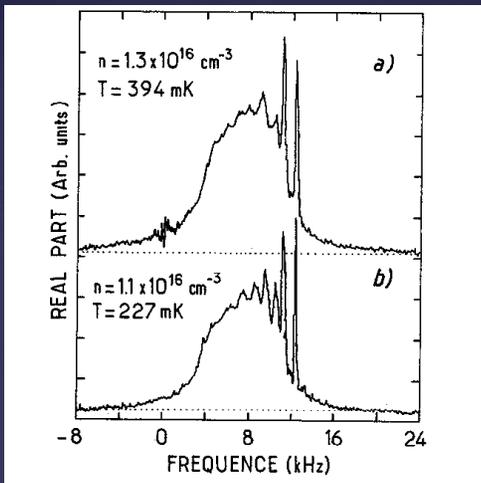


Schematic Diagram of cryostat & atomic hydrogen source

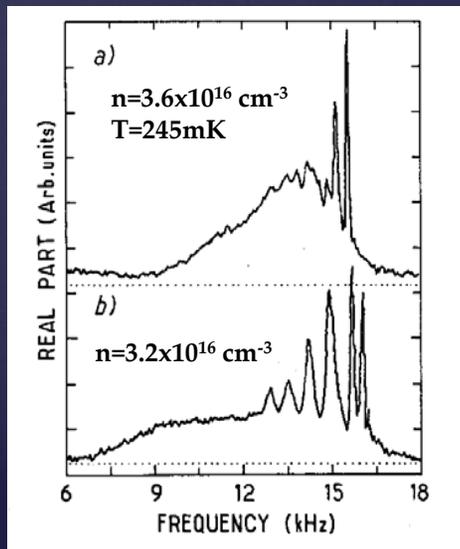


The sample cell with "loop-gap" or split ring resonator. Surfaces coated with saturated film of superfluid <sup>4</sup>He.

1983 Cont.



FT-NMR spectra for H↓↓ at 2 temperatures



FT-NMR spectra for H↓↓ at 2 gradients. b) larger gradient than a)

Spin Waves due to apparent nuclear-spin-dependent collision cross-section of H ↓↓. This is an effect of the Bose statistics on the nuclear degrees of freedom. True interaction potential is just that of the electron-spin triplet potential energy curve.

For small tipping angles:

$$i \frac{\partial \tilde{P}_+}{\partial t} = i \hat{D} \nabla^2 \tilde{P}_+ + \gamma \delta H_0(\mathbf{r}) \tilde{P}_+$$

Quality factor:

$$\mu = \frac{\lambda_T}{a_s}$$

$$\hat{D} = D \frac{(1 - i \varepsilon \mu P_z)}{1 + \mu^2 P^2}$$

$\varepsilon = 1$  for Bosons

$\hat{D}$  is complex effective diffusion coefficient

$P$  is nuclear spin polarization with components  $P_+$  &  $P_z$

In absence of gradient: simple standing waves.

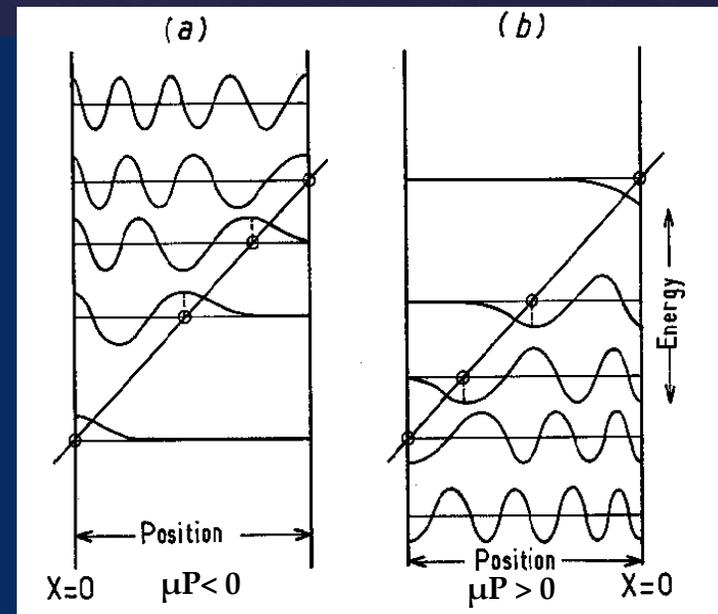
With gradient: Can solve for the complex eigenvalues: Airy Fns.

The act of using a field gradient to image the spin waves traps the modes by the "linear potential well"

Find  $P_z = 0.9 \pm 0.1$

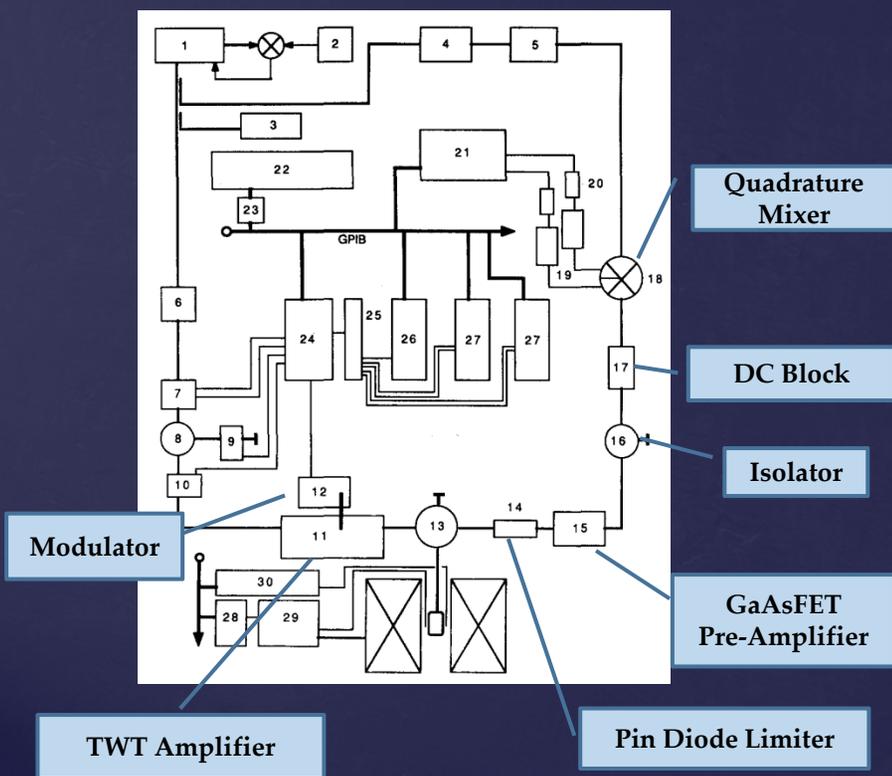
$\mu T^{1/2} = 3.5 \pm 0.4$  (Thy: 3.65)

$n D_0 = 1.3 \times 10^{18} \text{ cm}^{-1} \text{ sec}^{-1}$   
(Thy:  $1.5 \times 10^{18} \text{ cm}^{-1} \text{ sec}^{-1}$ )

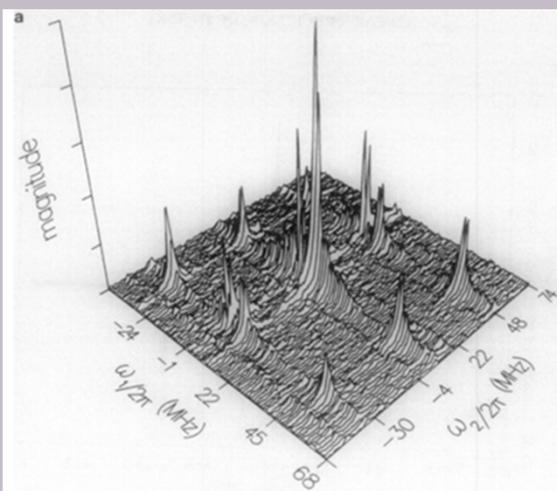


1986 ISMAR: Rio de Janeiro  
 JHF: Did not attend

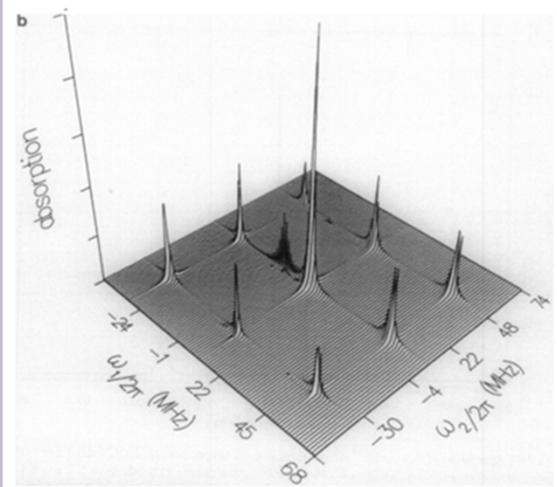
# Two-Dimensional Fourier Transform ESR: 2D-ELDOR (with Jeff Gorcester, JCP 85, 5375 (1986); 88, 4678 (1988).)



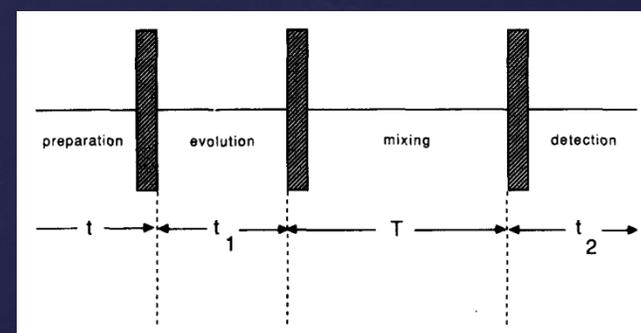
2D-FT-ESR Spectrometer Block Diagram



Absolute Value 2-D ELDOR of PD-tempone in toluene-d8 at 21°C.  
 $T_{\text{mix}} = 3 \times 10^{-7}$  s.  
 Cross-peaks due to Heisenberg Spin Exchange.



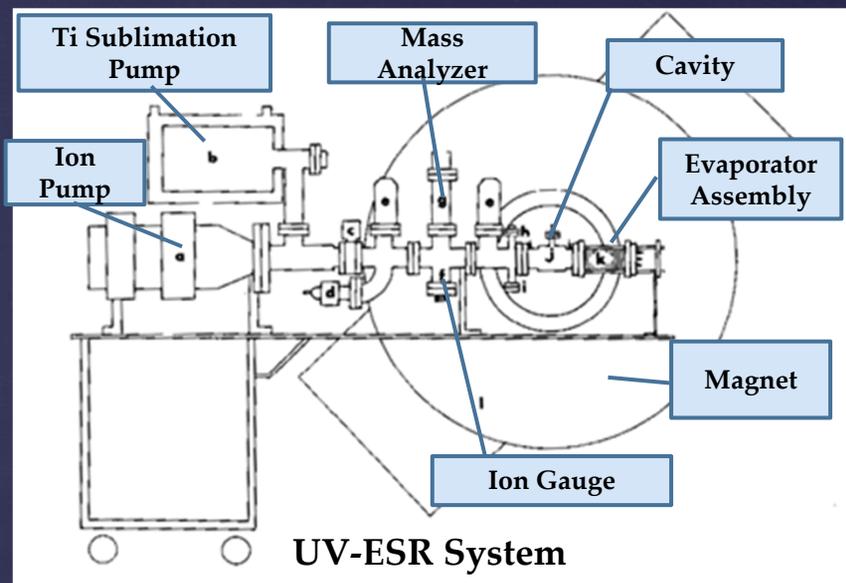
Spectrum after LPSVD: Pure 2D- Absorption representation.



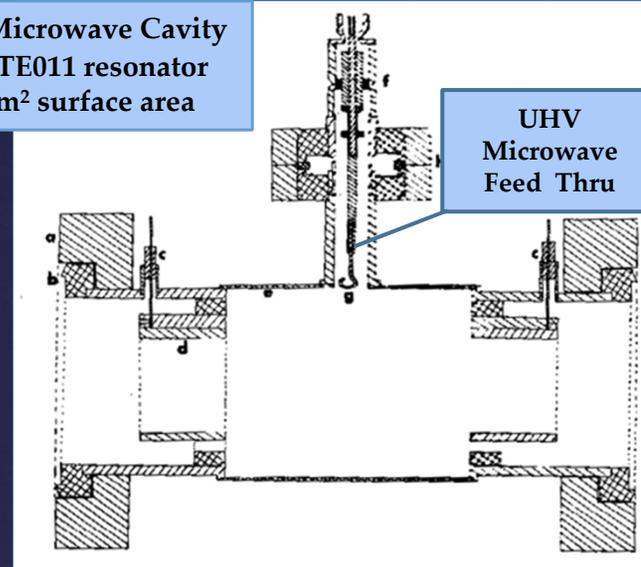
2D-ELDOR pulse sequence: 3  $\pi/2$  pulses

1986 cont.

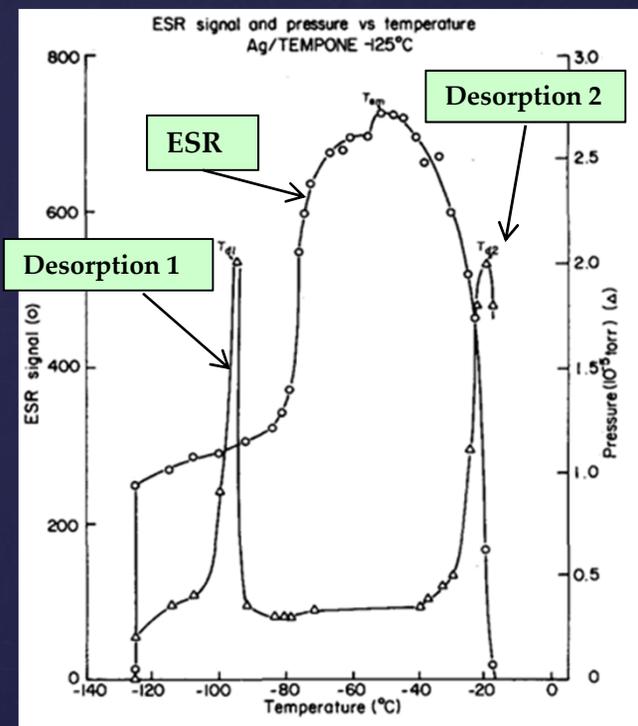
# Ultra-High Vacuum ESR (with P.G. Barkley and J.P. Hornak, JCP 84, 1886 (1986) at $10^{-10}$ Torr



UHV-ESR Microwave Cavity  
 $Q_u \approx 13,000$ , TE<sub>011</sub> resonator  
mode. 50 cm<sup>2</sup> surface area



In no case does an ESR signal appear when the nitroxide is first put down on clean Ag or Cu or on the metal pretreated with O<sub>2</sub> (and H<sub>2</sub>O). Some minimum dosage is required for ESR signal to be detectable: SSERS (Surface Suppressed Electron Resonance Spectroscopies).



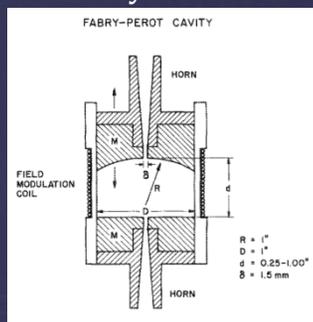
Thermal  
Desorption  
Plus ESR  
Experiment

1989 ISMAR: Morzine, France  
 JHF: Plenary Lecture

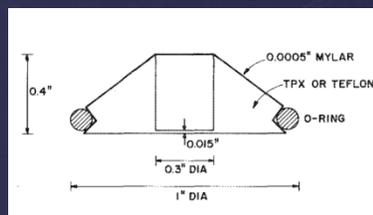
# ESR Spectroscopy at 1 MM Wavelengths: FIR-ESR (with B. Lynch & K. Earle,

Rev. Sci. Instrum. 59, 1345, (1988))

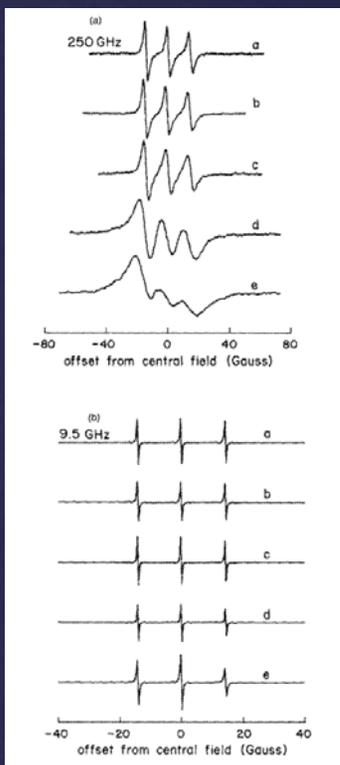
Fabry-Perot cavity  
 M indicates mirror  
 assembly



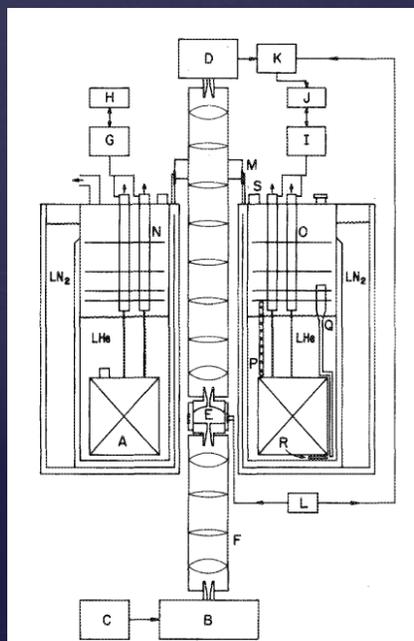
1mm ESR sample holder  
 for low loss samples



ESR Spectra of  
 PD-Tempone at  
 250 & 95GHz in  
 solvents of  
 increasing  
 viscosity (a-e).

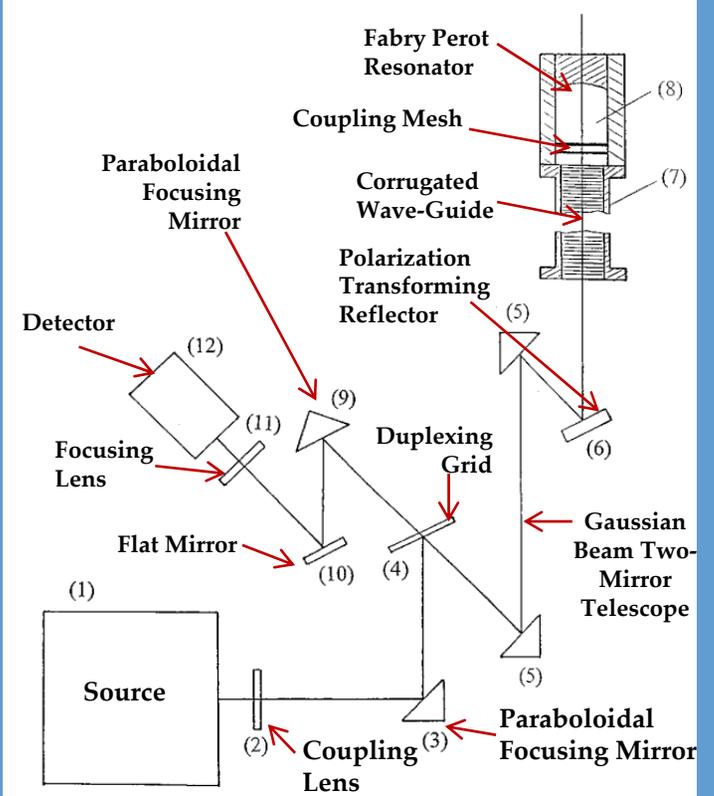


**\*A motionally  
 narrowed  
 spectrum at  
 9 GHz looks  
 slow motional  
 at 250 GHz.**



Block diagram of 1-mm ESR  
 transmission spectrometer at 9T. using  
 250 GHz quasi-optical waveguide  
 (Optiguide<sup>TM</sup>) & 500G-sweep coils.

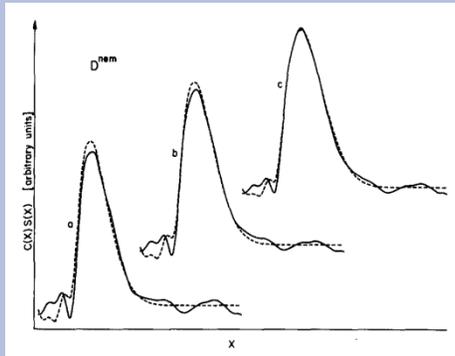
Quasi-Optical Reflection Bridge  
 1996 (with\* K.A. Earle & D.S.  
 Tipikin, RSI, 67, 2502)  
 Significant Increase in S/N



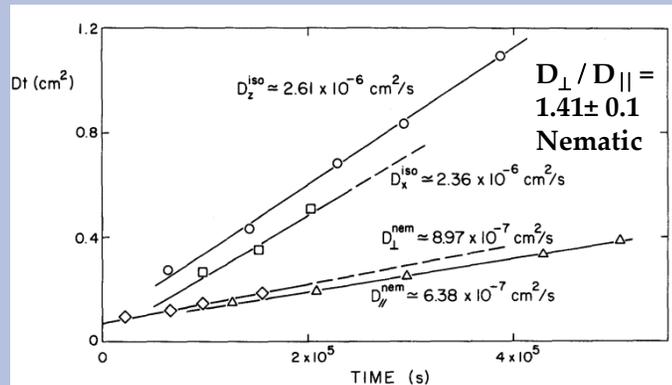
1992 ISMAR: Vancouver, Canada

JHF: LECTURED

# Diffusion Coefficients in Anisotropic Fluids by ESR Imaging of Concentration Profiles: DID-ESR (with J.P. Hornak, J.K. Moscicki, D.J. Schneider, Y.K. Shin, Reviewed : Annu. Rev. Biophys. 23, 1 (1994))



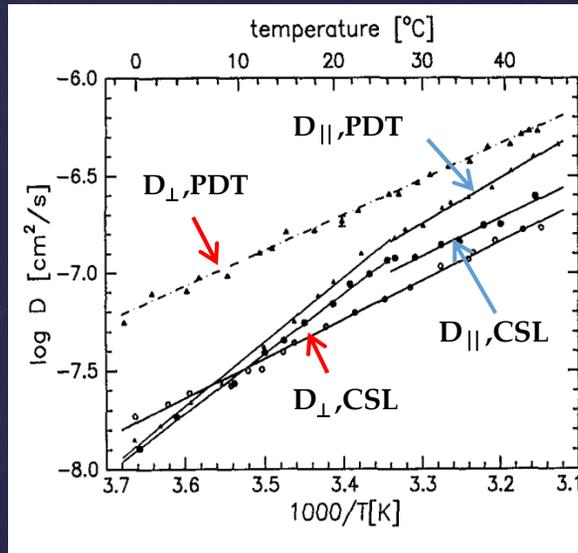
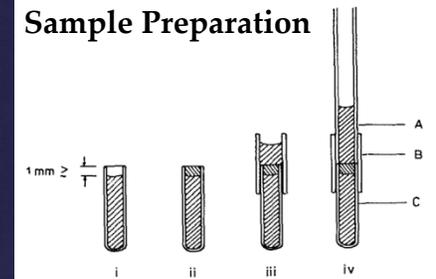
Concentration Profiles for Tempone diffusing in the nematic phase of 5,4 at 300K at increasing times.



## ISOTROPIC/NEMATIC LIQUIDS:

$D_{\parallel} \Rightarrow \parallel$  to Nematic Director.  
 $D_{\perp} \Rightarrow \perp$  to Nematic Director

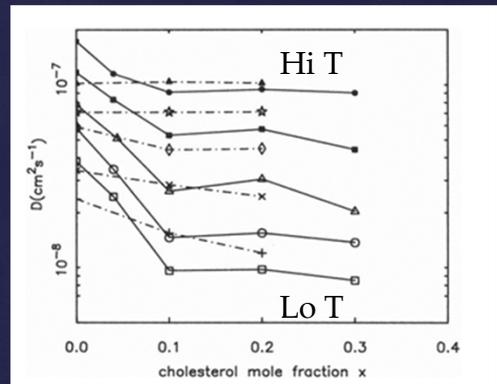
Using 1D field gradients & cw-ESR accurate translational diffusion coefficients ranging from  $10^{-5}$  to  $10^{-9} \text{ cm}^2/\text{s}$  were measured in isotropic & anisotropic fluids.



Smectic Liquid Crystal, S2

Small Probe: PDT  $D_{\perp} / D_{\parallel} > 1$

Large Probe: CSL  $D_{\perp} / D_{\parallel} < 1$

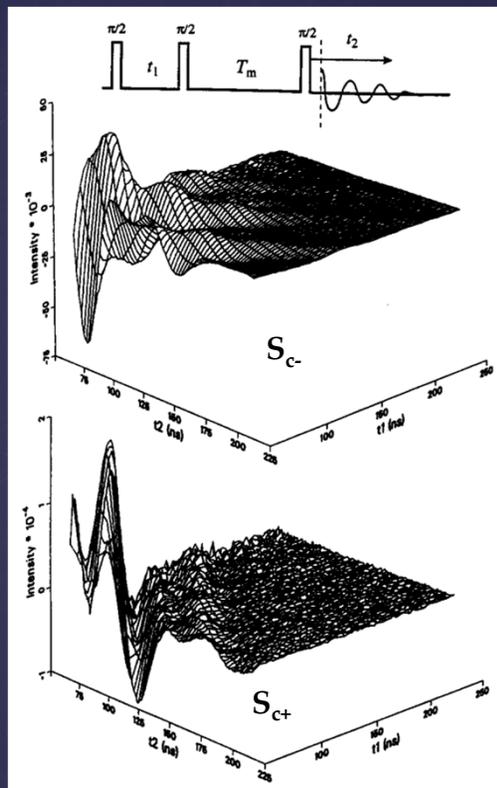


Lateral diffusion of CSL (—)  
 And 16PC (---) in phospholipid POPC vs. cholesterol m.f. at different temperatures

1995: ISMAR: Sidney, Australia  
 JHF: Session Chm.; Lectured in ESR Satellite

## 2D-ELDOR & Slow Motions

(with S. Lee, B.R. Patyal, S. Saxena, R.H. Crepeau  
 CPL 221, 397 (1994) with SRLS Analysis  
 (with A. Polimeno, JPC, 99, 10995 (1995))



Time Domain in 2D-ELDOR Spectra

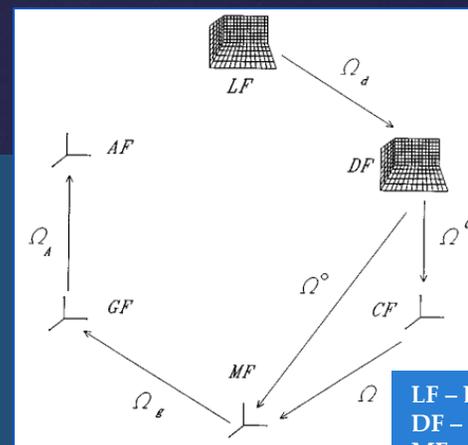
The experimental technology for 2D-ELDOR had progressed substantially and the detailed theory based on the SLE was fully developed along with NLLS analysis.

$$S_{c\pm}^{\text{ELDOR}} \propto \langle \nu_{-1} | O_{-1} \exp(-A_{-1}t_2) O_{-1}^{\dagger} P_{(-1 \leftarrow 0)} O_0 \times \exp(-A_0 T_m) O_0^{\dagger} P_{(0 \leftarrow \mp 1)} O_{\mp 1} \times \exp(-A_{\mp 1} t_1) O_{\mp 1}^{\dagger} | \nu_{\mp 1} \rangle$$

By obtaining 2D-ELDOR spectra at 6-8 different mixing times  $\rightarrow$  actually a 3rd dimension to the experiment.

We found the spin-relaxation and motional dynamics information is very extensive. Simple motional models could not fit data very well, so we applied the SRLS model with considerable success:

In a complex fluid, one expects the molecular reorientation to be non-Markovian. It is modeled in SRLS by both the Smoluchowski-type diffusive rotation of the probe in a mean potential, and the diffusive operator for the reorientation of the local structure (the cage) formed by the molecules in the immediate surroundings of the probe. Their collective motion constitutes a multi-dimensional Markov process.



Reference  
 Frames  
 for SRLS

LF – Lab Frame  
 DF – Director Frame  
 MF – Molecular Frame  
 CF – Cage Frame  
 GF – g-tensor Frame  
 AF – A-tensor Frame

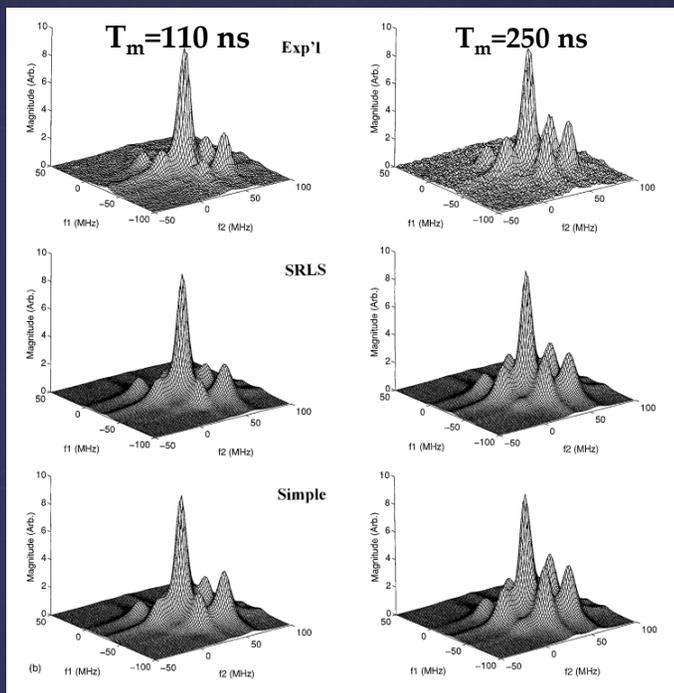
1995 cont.

# Multitude of Relaxation and Dynamic Data

Optimum parameters obtained from fits to the SRLS Model (10 Such Parameters)

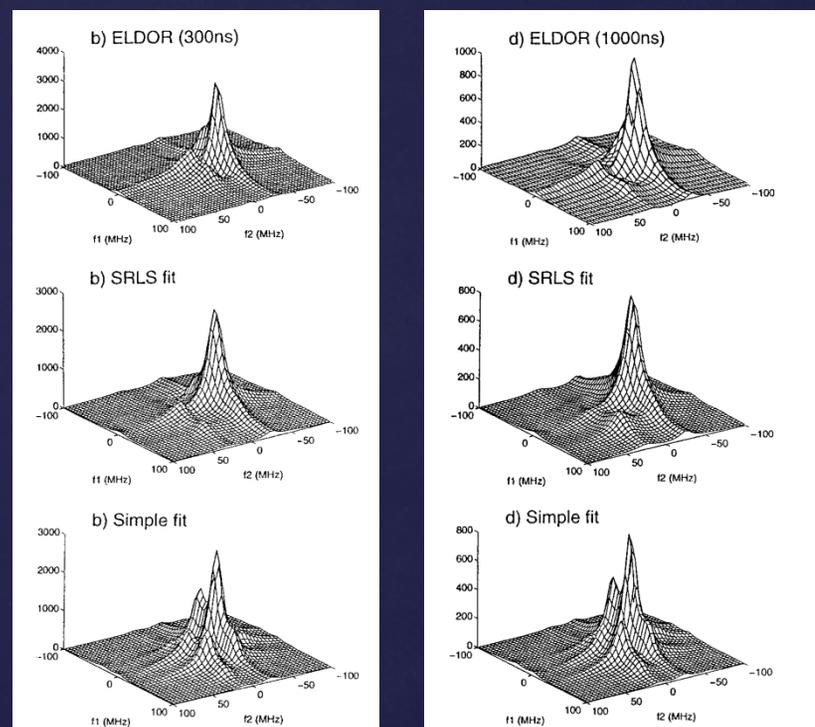
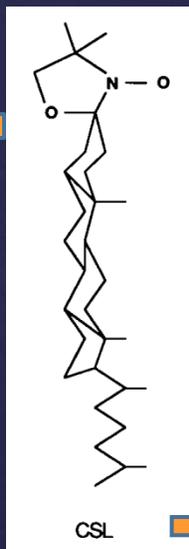
Phase	$T$ (°C)	$R_{\parallel}^0 \times 10^{-9}$ (s <sup>-1</sup> )	$R_{\perp}^0 \times 10^{-9}$ (s <sup>-1</sup> )	$a_0^2$	$a_2^2$	$T_{1,e} \times 10^6$ (s)	$T_{2,e} \times 10^6$ (s)	$\Delta_G$ (G)	$c_0^2$	$c_2^2$	$R^e \times 10^{-7}$ (s <sup>-1</sup> )
<i>I</i>	96.2	0.62	0.119	...	...	0.068	0.135	1.12	1.10	0.96	2.03
<i>I</i>	91.0	0.57	0.094	...	...	0.077	0.152	1.07	1.04	0.95	0.90
<i>I</i>	86.1	0.53	0.088	...	...	0.072	0.188	1.15	0.69	1.00	0.43
<i>I</i>	80.2	0.49	0.080	...	...	0.110	0.187	1.02	0.73	0.96	0.48
<i>N</i>	73.1	0.77	0.138	1.93	1.46	0.582	0.095	0.86	1.85	0.62	1.08
<i>N</i>	70.2	0.68	0.131	2.15	0.86	0.582	0.111	0.93	2.00	0.62	1.40
<i>N</i>	67.5	0.65	0.121	2.50	1.12	0.582	0.122	0.82	1.84	0.81	1.01
<i>N</i>	65.0	0.54	0.101	2.74	1.27	0.582	0.138	0.80	1.72	0.62	0.84
<i>S<sub>A</sub></i>	59.1	2.37	0.081	3.57	0.84	0.288	0.283	0.94	2.26	0.82	0.33
<i>S<sub>A</sub></i>	56.4	2.36	0.086	3.79	1.16	0.359	0.343	1.01	2.23	0.78	0.32
<i>S<sub>A</sub></i>	53.6	2.48	0.077	4.15	1.44	0.478	0.442	1.11	2.25	0.79	0.33
<i>S<sub>A</sub></i>	50.8	2.33	0.083	4.68	1.17	0.316	0.196	1.28	1.62	0.74	0.28
<i>S<sub>B</sub></i>	44.4	3.23	0.150	7.49	-0.62	0.185	0.092	1.19	0.215	-0.029	0.022
<i>S<sub>B</sub></i>	40.7	2.86	0.115	7.78	-0.50	0.178	0.098	1.19	0.191	-0.003	0.021
<i>S<sub>B</sub></i>	38.1	2.77	0.150	7.55	-0.58	0.206	0.095	1.21	0.246	-0.010	0.022
<i>S<sub>B</sub></i>	35.2	2.09	0.141	7.62	-0.88	0.216	0.103	1.23	0.451	-0.027	0.015
<i>C</i>	29.1	2.00	0.124	7.61	-0.86	0.216	0.108	1.23	0.200	-0.004	0.010
<i>C</i>	24.6	1.98	0.137	7.96	-1.40	0.216	0.116	1.23	0.075	-0.002	0.000

<sup>a</sup>The average percent errors to the parameters are  $\epsilon_{R_{\parallel}^0} = 1.5$ ,  $\epsilon_{R_{\perp}^0} = 2.7$ ,  $\epsilon_{a_0^2} = 1.8$ ,  $\epsilon_{a_2^2} = 1.1$ ,  $\epsilon_{T_{1,e}} = 2.5$ ,  $\epsilon_{T_{2,e}} = 5.0$ ,  $\epsilon_{\Delta_G} = 1.6$ ,  $\epsilon_{c_0^2} = 2.5$ ,  $\epsilon_{c_2^2} = 2.7$ ,  $\epsilon_{R^e} = 3.7$ .



CSL in Macroscopically Aligned  
Smectic A phase of  
Liquid Crystal 40.8 (59°C).  
(V.S.S. Sastry, et al., JCP 105, 5753 (1996))

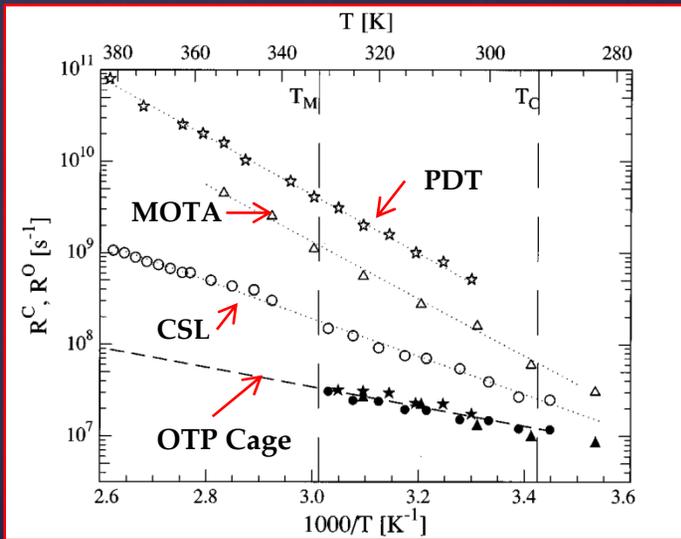
CSL In Liquid  
Crystalline Polymer  
D. Xu et al. (JPC 1001,  
15873 (1996))



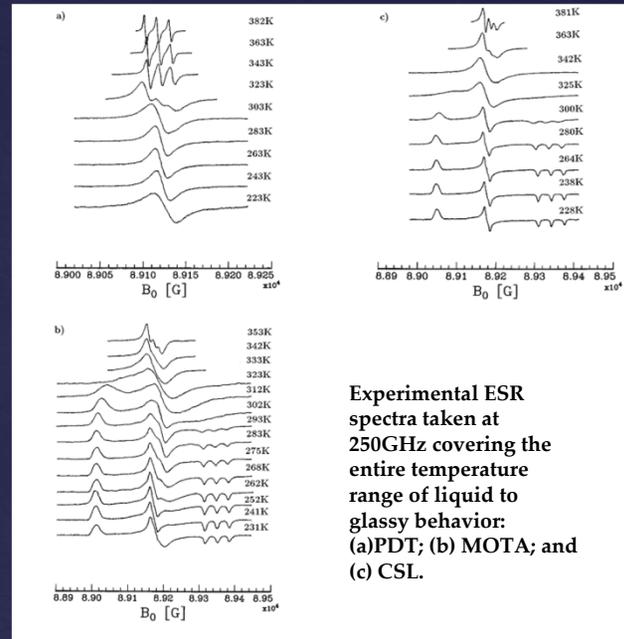
1998: ISMAR: Berlin, Germany  
 JHF: Lectured

# 250 GHz Studies of Molecular Dynamics

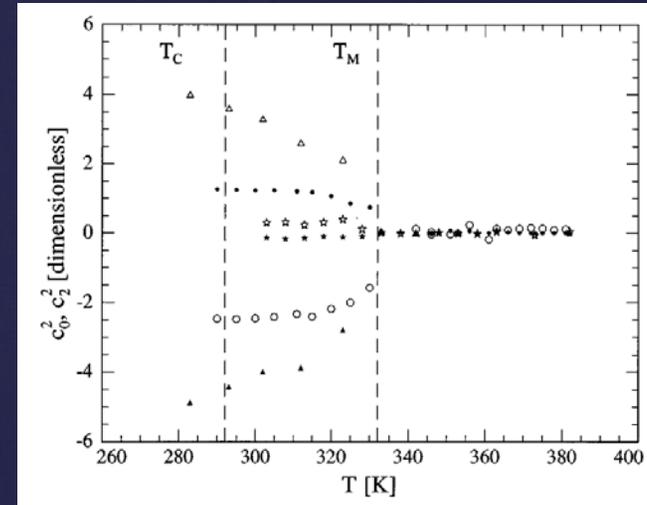
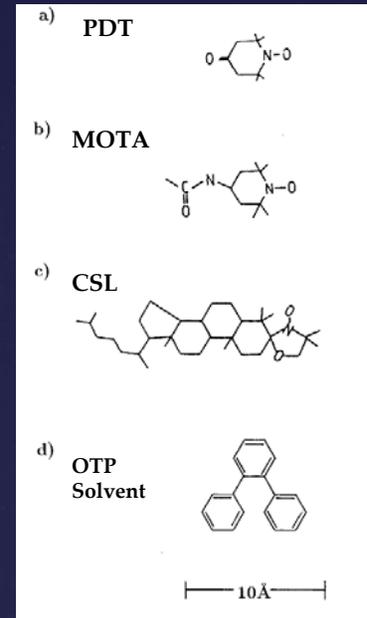
1) Dynamic Cage Effects Above the Glass Transition (with K. Earle, J.K. Moscicki, A. Polimeno, JCP, 106, 9996, (1997))



Rotational Diffusion Rates for Probes dependent upon their size.  
Relaxation of cage is the same for all the probes.



Experimental ESR spectra taken at 250GHz covering the entire temperature range of liquid to glassy behavior: (a)PDT; (b) MOTA; and (c) CSL.

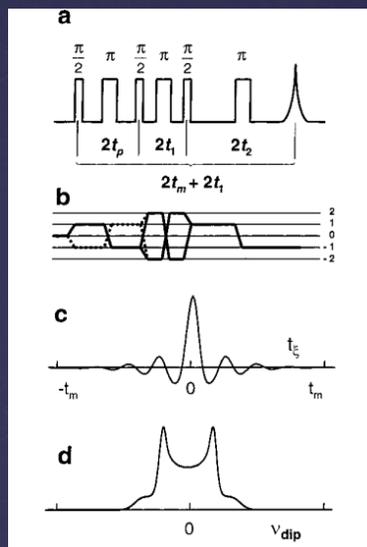


Cage potential parameters below  $T_M$  depend on size and shape of probe; above  $T_M$  they all are zero.

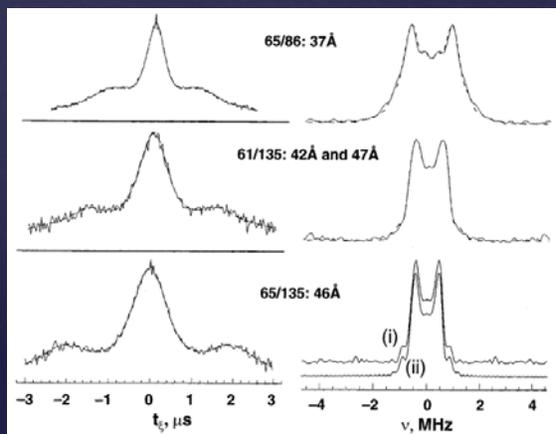
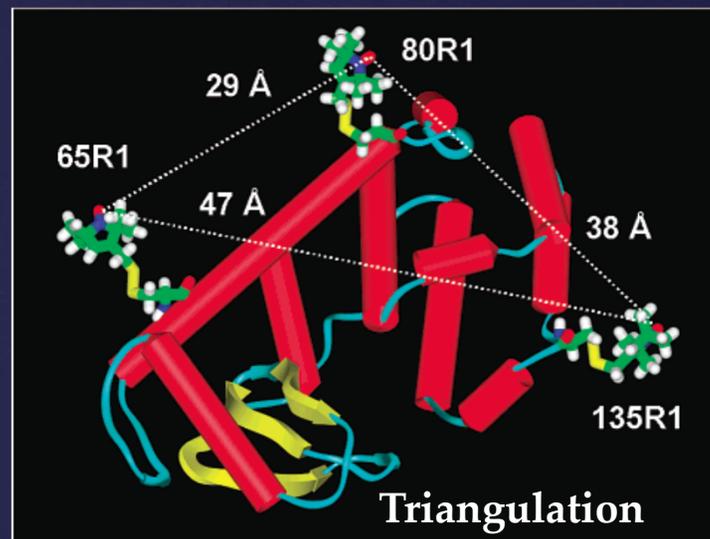
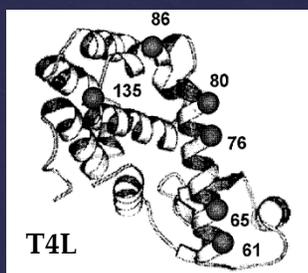
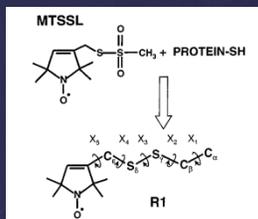
2001: ISMAR: Rhodes

JHF: Lectured; ACERT is initiated.

# Protein Structure Determination Using Long-Distance Constraints from Double-Quantum Coherence (DQC) ESR: T4-Lysozyme (with Peter Borbat\* & H.S. Mchaourab, JACS 124, 5304 (2002))



**DQC-ESR Pulse Sequence**  
 $\pi/2$  pulses = 3.2 ns  
 $\pi$  pulses = 6.4 ns

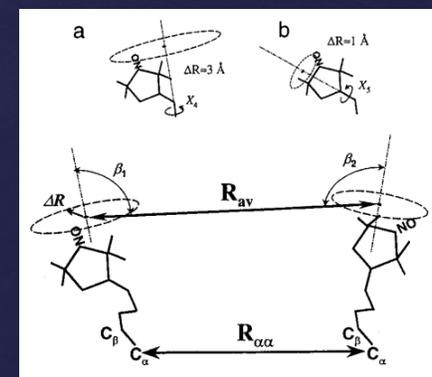


Left: Time evolution of DQC Signal from doubly labeled T4L; Right: their FT's

**Table 3.** Comparisons of Average Distances between Nitroxides,  $R_{av}$ , from the DQC Experiments (cf. Table 2) with the Distances,  $R_{\alpha\alpha}$  and  $R_{\beta\beta}$ , between the Respective  $\alpha$ - and  $\beta$ -Carbons, Obtained from X-ray Crystallography<sup>60</sup>

mutant	$R_{av}$ , Å	$R_{\alpha\alpha}$ , Å	$R_{\beta\beta}$ , Å	$\Delta^a$ , Å
61/80	34, 29	28.7	28.82	5.3, 0
65/80	28.0 <sup>b</sup>	22.6	22.4	5
65/76	21.4	16.7	16.6	4.7
61/86	37.5, 33.5	34.4	37	3, 0.9
65/86	37.4	28.86	31.17	8.5
61/135	47.2, 41.8	37.7	40.43	9.5, 4
65/135	46.3	34.26	36.67	12
80/135	36.8	26.7	27.4	10

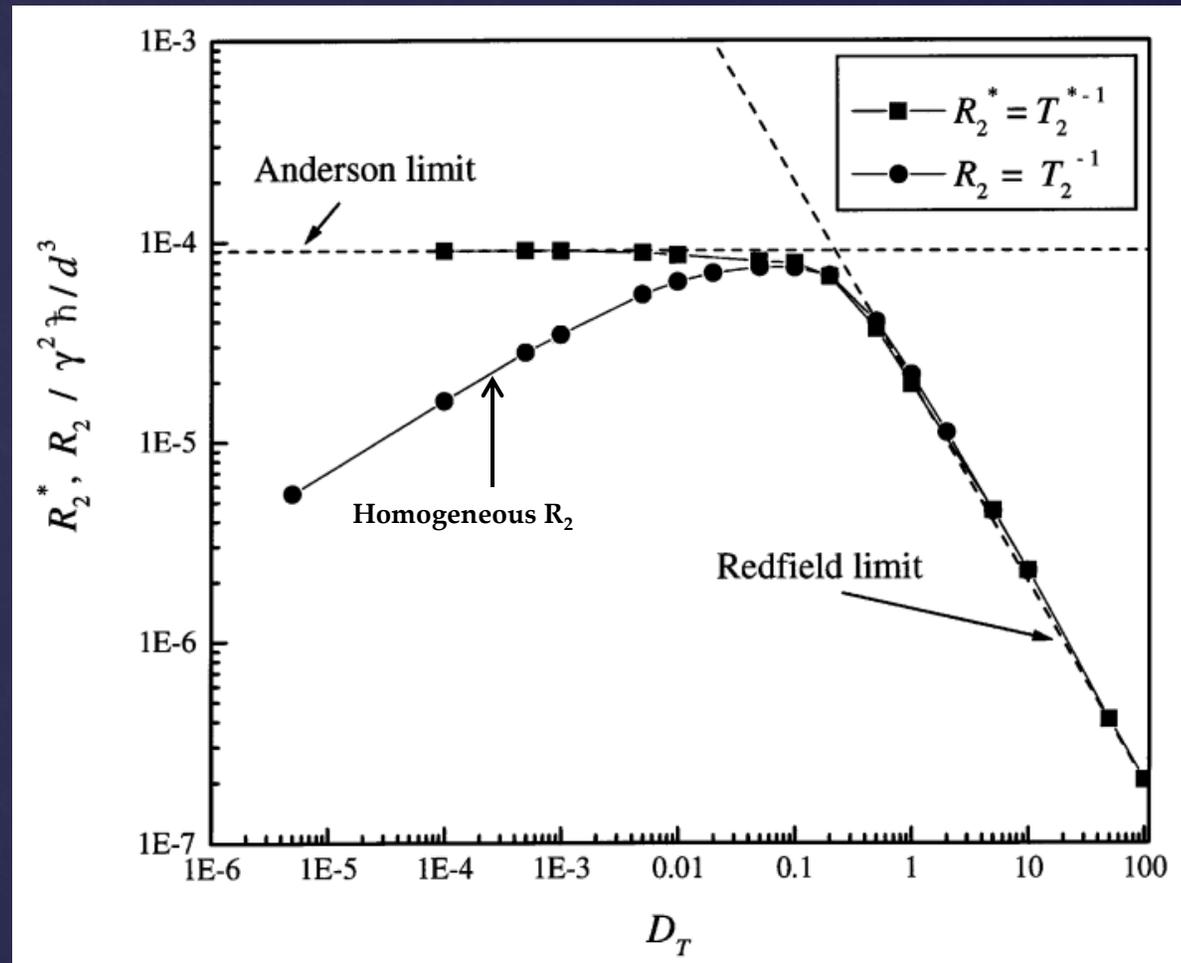
<sup>a</sup>  $\Delta \equiv R_{av} - R_{\alpha\alpha}$ . <sup>b</sup> Average of distances from X- and Ku-bands.



Accounting for Flexibility of Tether

2001 Cont.

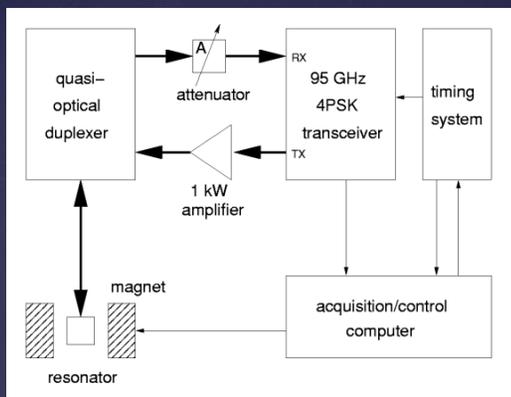
# Dipolar Relaxation in a Many-Body System of Spins of $\frac{1}{2}$ : Translation Diffusion (with A.A. Nevzorov, JCP, 112, 1425 (2000); 115, 2401 (2001))



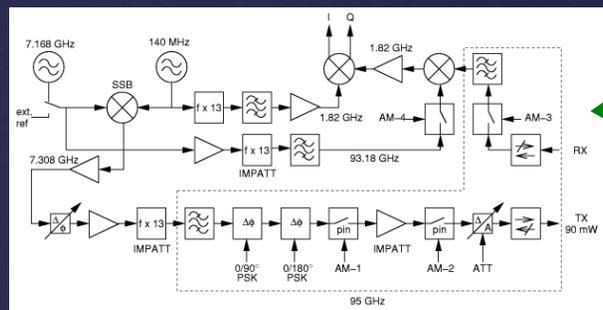
Bridging the two limits vs. relative translational diffusion coefficient  $D_T$

2004 ISMAR: Jacksonville, FL (USA)  
 JHF: Plenary Lecture

# 95 GHz High-Power Quasi-Optical Pulsed ESR Spectrometer (with\* W. Hofbauer, K.A. Earle, C.R. Dunnam, J.K. Moscicki, RSI 75, 1194 (2004))

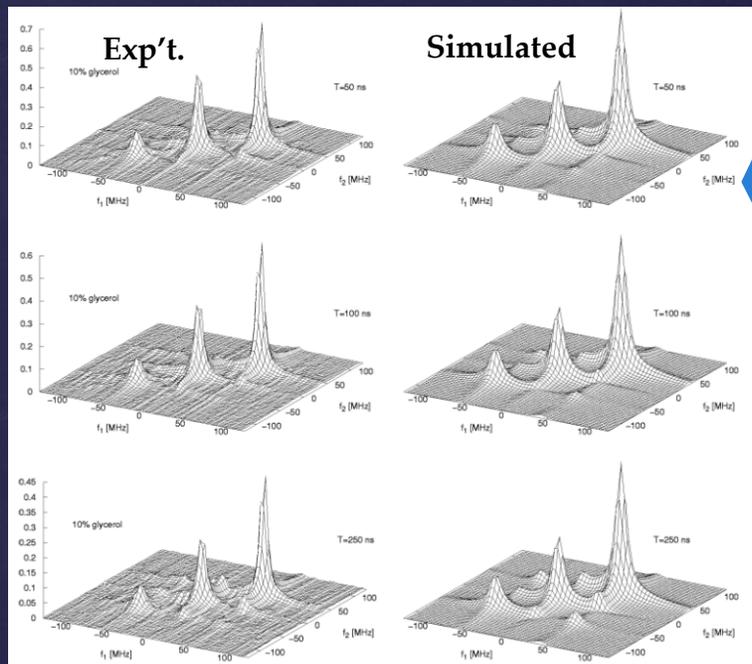
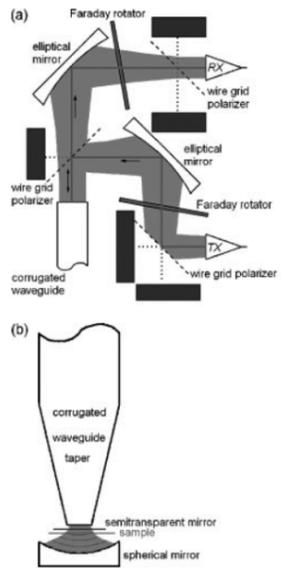


Spectrometer Block Diagram



Block Diagram of Transceiver  
 5ns  $\pi/2$  pulse  $\approx$  18 G.  
 Spectral bandwidth  
 $\pm 175$  MHz ( or  $\pm 62$  G.)

Schematic Diagram of Quasi-Optical Bridge Based on Polarization Coding: Induction Mode.

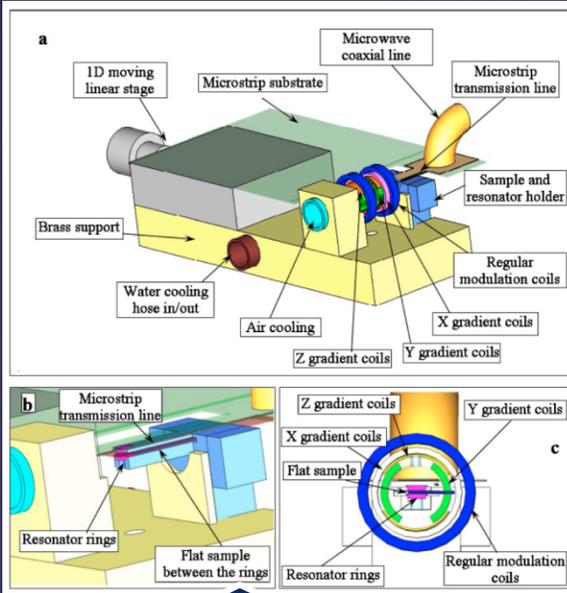


2-D ELDOR, Sc-Spectra of Tempo in 90% water/10% glycerol, 22°C at various mixing times.

New relaxation mechanism ineffective at lower fields & frequencies. e.g. dynamic modulation of the g-value due to rapid solvent-induced fluctuations.

2004 Cont.

# Electron Spin Resonance Microscopy: Micron Resolution (with A. Blank\*, C.R. Dunnam, P.P. Borbat, RSI 75, 3050; APL 85, 5430 (2004))



CW Imaging Probe Layout

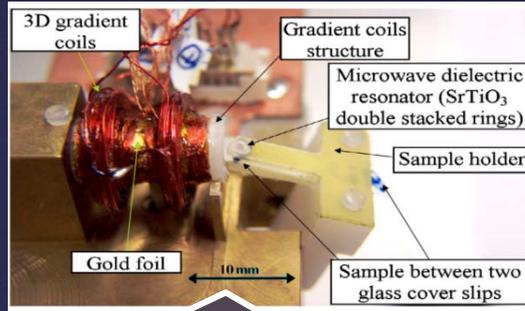
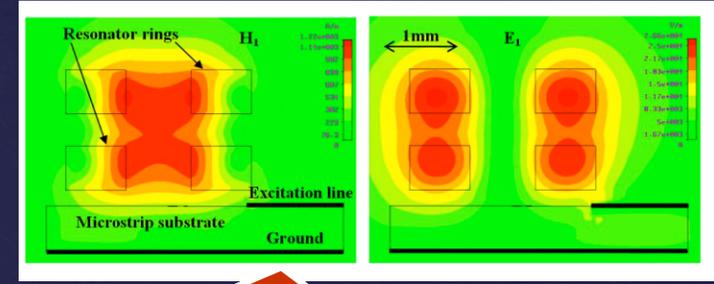
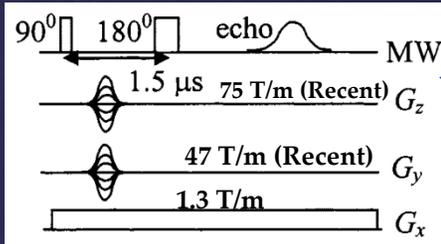


Photo of Pulsed Imaging Probe

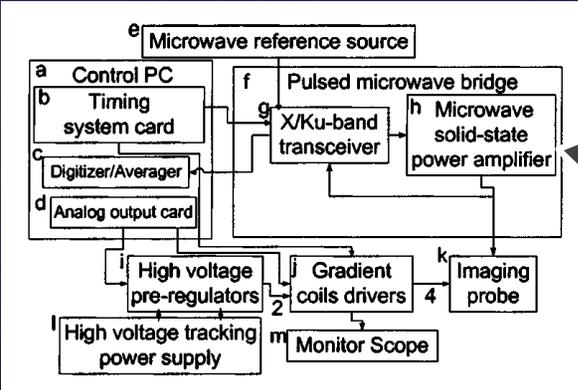


Calculated Microwave H<sub>1</sub> & E<sub>1</sub> fields for High Permittivity Resonator



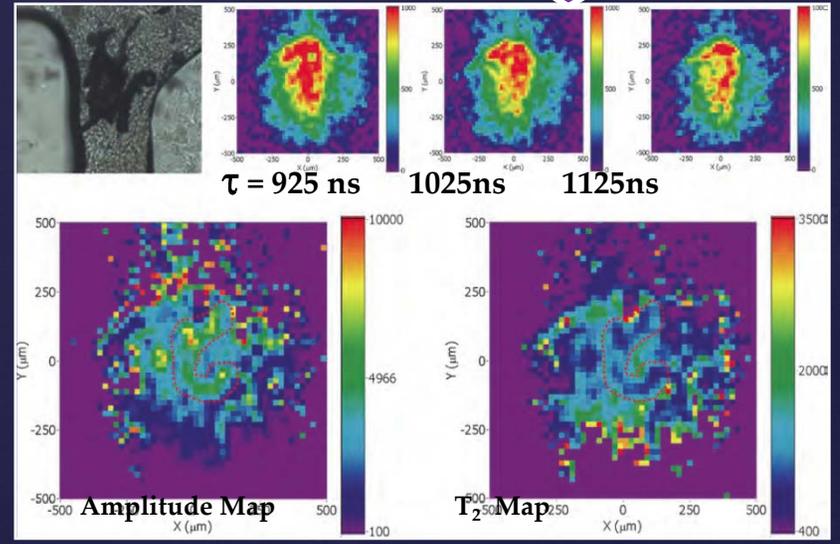
Typical Pulse Imaging Sequence

Imaging Mouse Leg Tissue with tumor (2010)



Block Diagram of the Pulsed Imaging System

LiPc crystal size of 55 X 67 X 15 μm  
Recent ESRM ~ 0.7 X 0.75 X 7.5 μm



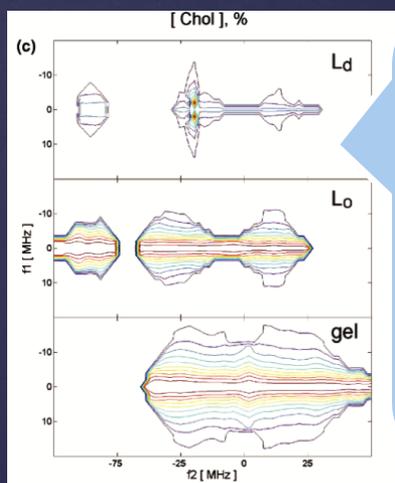
2007: ISMAR: Taiwan  
JHF: Lectured

# Dynamic Molecular Structure of Phase Domains in Model & Biological Membranes by 2D-ELDOR with the "Full Sc-Method" (with Y.-W. Chiang, A.J. Costa-Filho, JPC-B, 111, 11260 (2007))

The Sc- method is a newer fitting strategy using the full complex Sc- signal instead of just the magnitude.

(Note the FID-like Sc+ signal is heavily attenuated due to finite-dead times, ca. 30 ns.).

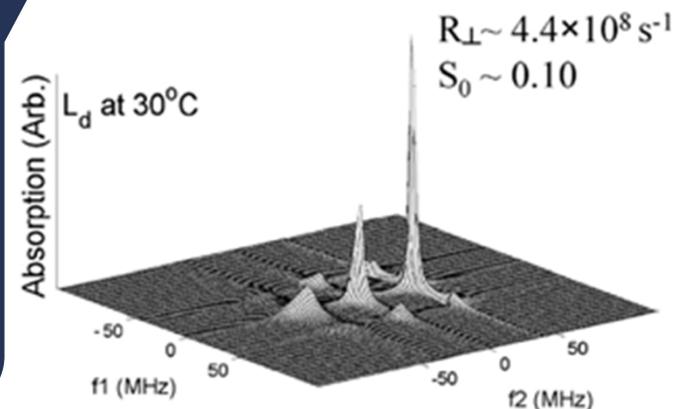
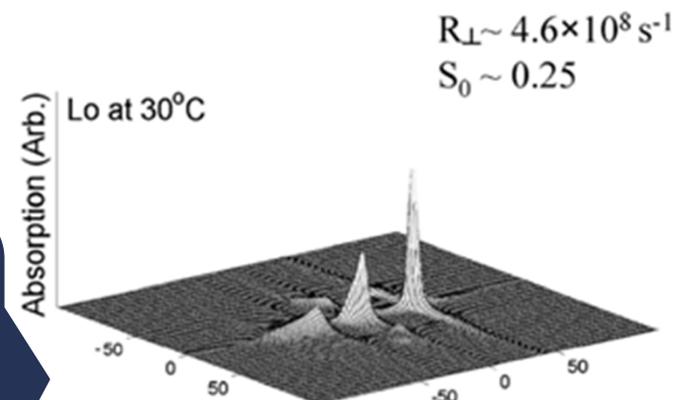
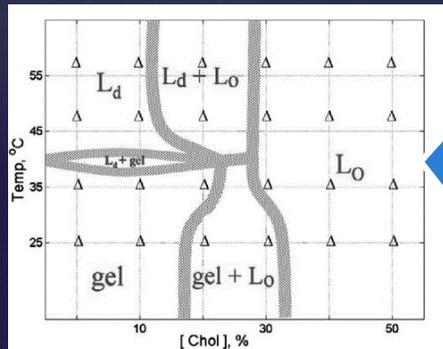
Excellent discrimination of the three phases of mixed model membranes of DPPC/Cholesterol with 16 PC



Absorption Spectra in Normalized Contour Mode: Shows Homogeneous Linewidths.

Pure Absorption Components for coexisting L<sub>o</sub> & L<sub>d</sub> phases in plasma membrane vesicles

Yields this Phase Diagram

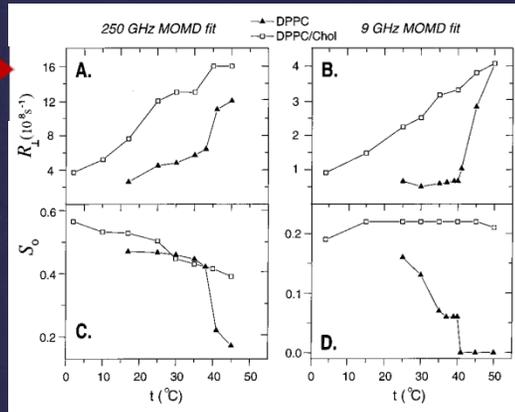
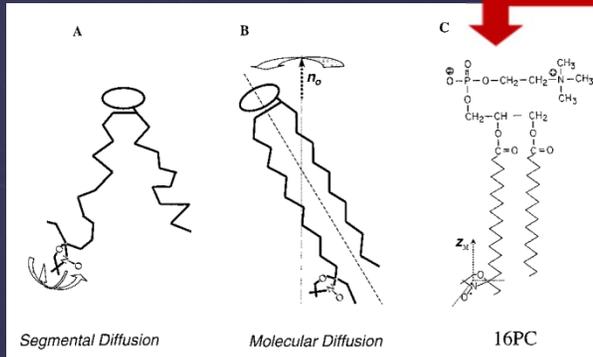


2007 Cont.

# Multi-Frequency ESR and Molecular Dynamics in Biophysical Systems (with Z. Zhang, M.R. Fleissner, D.S. Tipikin, Z. Liang, J.K. Moscicki, Y. Lou, M. Ge, and W. Hubbell).

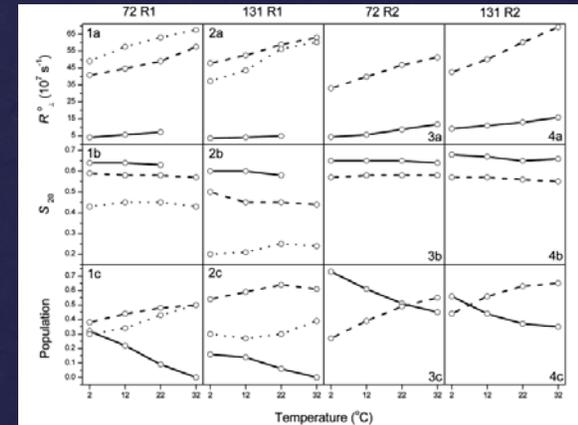
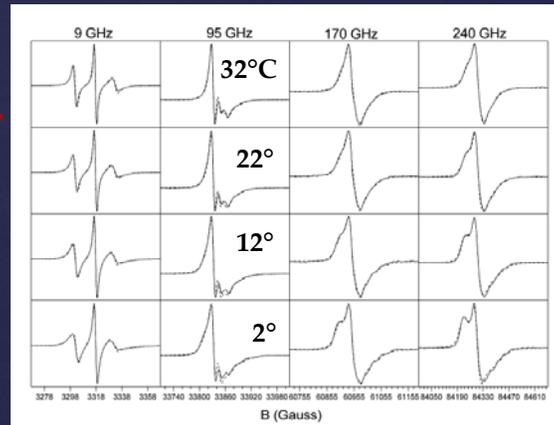
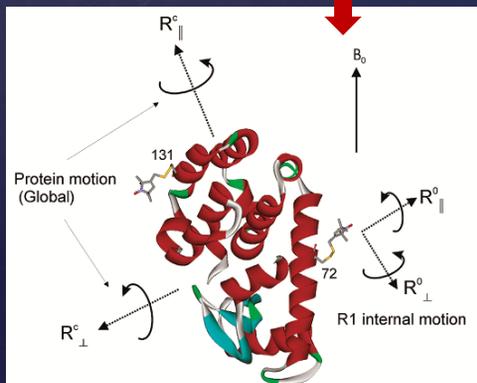
Provides extensive experimental data to study microscopics of molecular dynamics. The multi-frequency ESR studies to date cannot be adequately fit with simpler models, but require the SRLS model, which provides adequate fit.

## Complex Dynamics of Membranes



Standard MOMD fits are in disagreement. Only by the SRLS analysis could results at both frequencies be fit simultaneously & with physically sound axial alignment of the acyl chains.

## Complex Dynamics of Spin-Labeled T4 Lysozyme



Spectra At 4 Frequencies Were Fit Simultaneously To SRLS. Yields 3 Distinct Components

2010: ISMAR: Florence Italy  
 JHF: Lectured

# Protein Superstructure: Bridging the Gap Between X-ray Crystallography and Cryo-EM by Pulse-Dipolar ESR (with J. Bhatnagar, P. Borbat, A. Pollard, A. Bilwes, B.R. Crane, Biochem. 49, 3824 (2010))

### Signal Transduction in Chemotaxis

A bacterial chemoreceptor relays the signal over a 300 Å distance to histidine kinase, CheA, where the phosphorylation cascade starts. CheA is attached to the receptor via the coupling protein, CheW. The signaling complex structure & the mechanism of signal transduction is totally unknown.

**Fig. 1. Coupling protein CheW**

Bacteria swim to attractants & away from repellents by switching the sense of flagella rotation. A complex chain of events involving multiple proteins & protein complexes takes place in order to relay the stimulus into the switching rotation of the flagellum motor.

WT CheA is a homodimer assembled into 9 domains in a "beads on string" fashion.

### "Triangulation"

The cartoon illustrates the "triangulation" grid of sparse large distance constraints obtained to solve binding CheA-Δ289 P5 domain (blue) & CheW (red).

The spheres represent volumes occupied by the nitroxide groups. The increase in number of constraints (which are fairly accurate distances) reduces the uncertainty in the position of the backbone.

### Bridging the Gap Between X-Ray Crystallography & cryo-EM Structure of CheA/W/Receptor Complex

Previous Model vs. Current Model

Evidence from biochemical studies supported receptor axis is parallel to P3 axis

Dipolar signals between receptor & CheA/CheW orient the receptor with axis along & anti-parallel to P3 domain

First structural study on CheA/CheW/Receptor complex  
 Crucial for understanding signal transduction at molecular level

### Compatibility of PDS structure with trimer of receptor dimers

Comparison of Tm orientations A and B with the arrangement of receptor dimers (brown) in a trimer (grey). If orientation A is superimposed on a single dimer in the trimer, the receptor from orientation B overlaps with the position and orientation of an adjacent dimer within the trimer.

Trimer-of-receptor dimers was generated by superimposing the conserved tips of the Tm14 structure with those of the Tsr cytoplasmic domain.

# Structureless Protein Which Binds to Membranes: α – Synuclein (with E.R. Georgieva, T.F. Ramlall, P. Borbat, D. Eliezer, JBC, 285, 28261 (2010))

### Alpha-Synuclein

- Alpha-synuclein (αS) is a highly conserved presynaptic protein that plays a role in synaptic strength maintenance & dopamine homeostasis.
- Accumulation of αS amyloid fibrils has been implicated as the major reason in the development of Parkinson's disease
- The normal function & the relation between its aggregation & deposition in Lewy bodies & PD remain unclear.
- In solution the protein is intrinsically disordered.
- In the presence of lipid membranes N - terminal part of αS adopts a highly helical structure.
- Protein conformation in the membrane-bound state is not well understood.

```

1      9-11      20-28      31-38
MDVFMKGL SKAKEGVVAAA ETKKGVAAEA GJTKEGVLYVG
42-IV      53      67-V      68-VI
KTKEGVYHGV STVA ETKKEVTVHVG GAVTVGYTAVA
79-VII     80
KQTVEGAGSIA AATG
100      110      120      130
FYKXKDLQKNEEAPQEGLEDPVDPNEIAYMPSEEGYGVPEFA
  
```

### α-SYNUCLEIN: Triangulation Results

Long Distances: Solvent & Protein Deuterations: α-SYNUCLEIN

Labeled Sites	SOS distance (Å)	LPPG distance (Å)
50/72	36	35
35/50	24.7	23.8
24/61	40.5	42.6
13/72	37	44
3/83	28.1	34.6
24/72	42.7	46
24/83	36.1	43.5
3/61	36	44.8
3/50	43	45
13/50	45	42.7
31/50	32.8	30.2
20/42	30	31.6
42/61	34.4	29.8

αS-DBD: Rigid-body modeling

Schematic illustrating the positions, within the lipid-binding domain of αS & its mutants, of the spin-labeled sites used for distance measurements.

### α-Synuclein Accommodates to Different Shapes of Micelle or Membrane

MUTATED SITES	5.5	5.8	5.5	3.7
24/61	5.5	5.8	5.5	3.7
24/83	6.7	6.05	7.7	3.8
24/72	6.9	7.1	6.9	4.05
42/61	3.6	3.6	3.6	3.2

α-Helix: 5.6 nm for 24/61 double mutant  
 8.85 nm for 24/83 double mutant  
 7.2 nm for 24/72 double mutant  
 2.9 nm for 42/61 double mutant

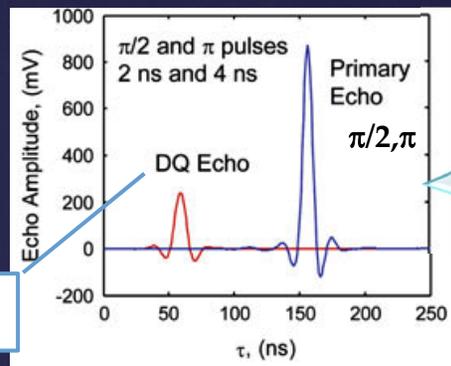
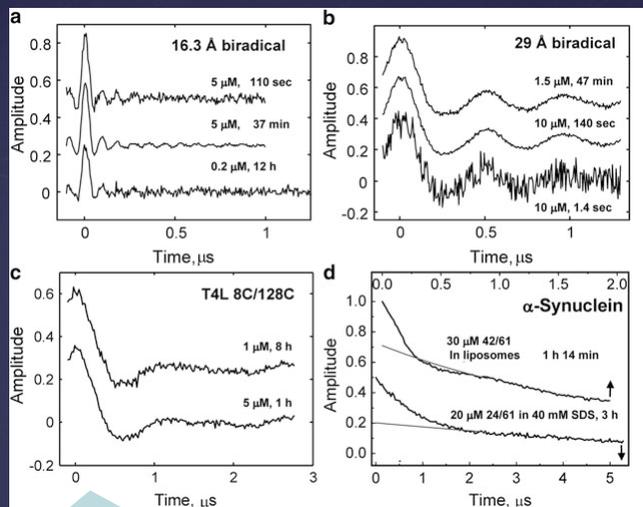
Borbat et al. (2006), JACS, 128, 10004-5.  
 Georgieva et al. (2008), JACS, 130, 12856-7.  
 Georgieva et al. (2010), J. Biol. Chem. 285, 28261-74.

### Possible In Vivo Structural Transitions of α-Synuclein

- Free State: Compact & Extended Conformation
- Synaptic Vesicles: Bound Extended-Helix
- Vesicle Near Plasma Membrane: Broken-Helix

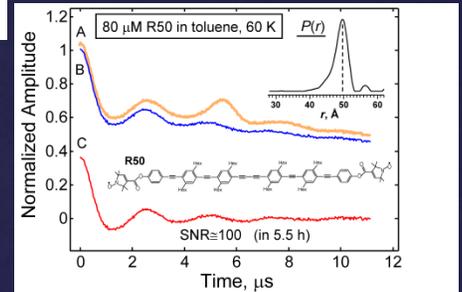
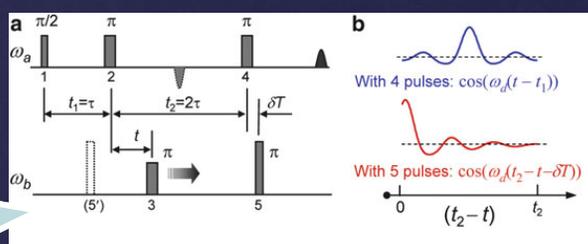
2013: ISMAR: Rio de Janeiro, Brazil  
 JHF: ISMAR Prize, Lecture

# Sensitivity of Pulse Dipolar ESR at ACERT (with P.P. Borbat\*, JPC Letters, 4, 170 (2013))



DQC Signal is 52% of theoretical maximum; Half of primary echo

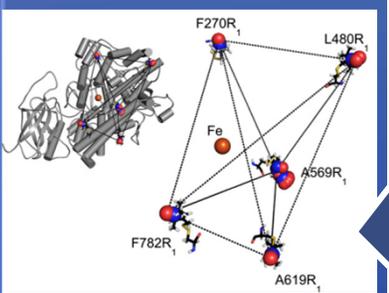
$\pi/2, \pi, \pi/2, \pi, \pi/2, \pi$



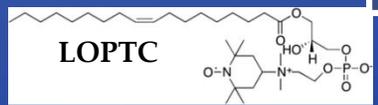
Micromolar Concentration Sensitivity with DEER: ACERT:Ku Band

5-Pulse DEER (Borbat) can nearly double dipolar evolution times. Good for longer distances

## Locating a lipid in a Macromolecular Complex (with B. Gaffney, M.D. Bradshaw, S.D. Fausto, F. Wu, P. Borbat, BJ, 103, 2134 (2012))

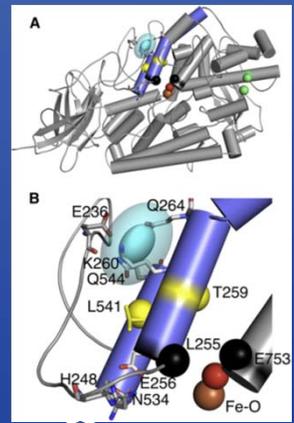
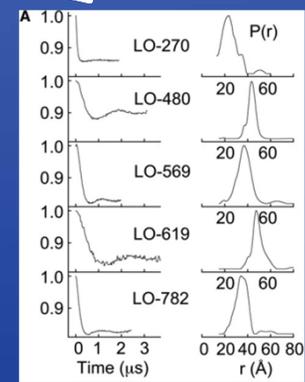


Protein Grid from 10 Distances between 5 spin-labeled sites on lipoxygenase



Distance Geometry approach assigned the location of the polar end of LOPTC on protein surface to  $2\sigma < 2 \text{ \AA}$  accuracy

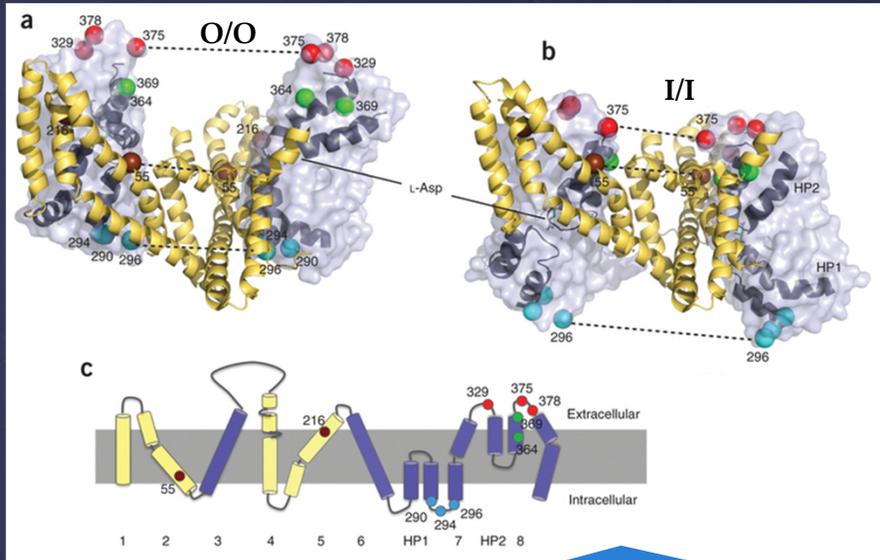
Distances of LOPTC to the 5 sites on protein



Locating LOPTC in the Protein

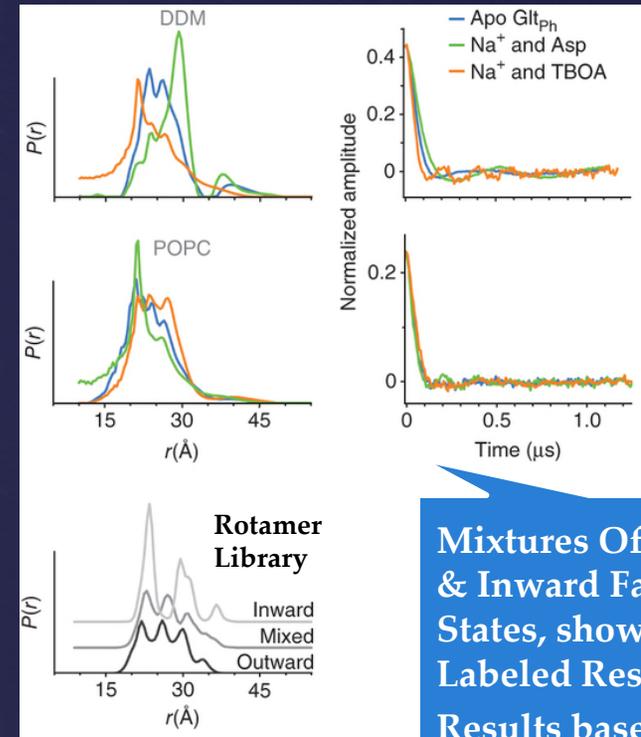
2013 Cont.

# Conformational Ensemble of sodium-coupled aspartate transporter (with Elka R. Georgieva, P.P. Borbat, C. Ginter, O. Boudker, NSMB, 20, 215 (2013))



## Trimer Transporter

- a) Protomer pairs in outward-facing state
- b) Pairs in inward-facing state
- c) Topology of protomer



Mixtures Of Outward & Inward Facing States, shown for Labeled Residue 55. Results based on 139 Distances

**Found: Outward & Inward States With Nearly Equal Probability Indicative Of Comparable Energies & Protomers Function Independently Of Each Other. Structure Of Protomers In Membrane More Compact Than In Detergent, Yet Consistent With Crystal Structures.**

I hope this excursion through my 51 years of ESR has given some indications of how this field of magnetic resonance has evolved with many exciting capabilities.